

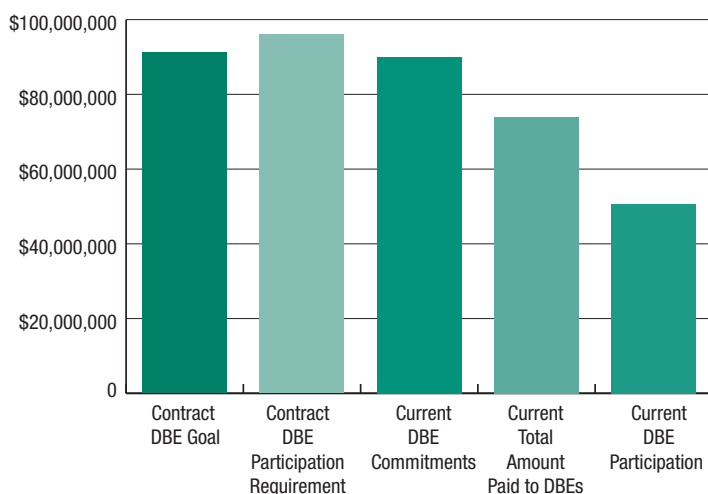
WSDOT Disadvantaged Business Enterprise program: Monthly progress report to FHWA, January 2015

OVERVIEW

The Washington State Department of Transportation (WSDOT) provides monthly progress reports to the Federal Highway Administration (FHWA) on both project and program-level Disadvantaged Business Enterprise (DBE) program participation, pursuant to the Conciliation Agreement between FHWA and WSDOT executed on March 20, 2014. This monthly report includes progress updates for both the Alaskan Way Viaduct (AWV) Bored Tunnel project and WSDOT's statewide DBE program. The participation and other data included in this report represent information verified through November 2014; other activities noted reflect work performed through December 31, 2014.

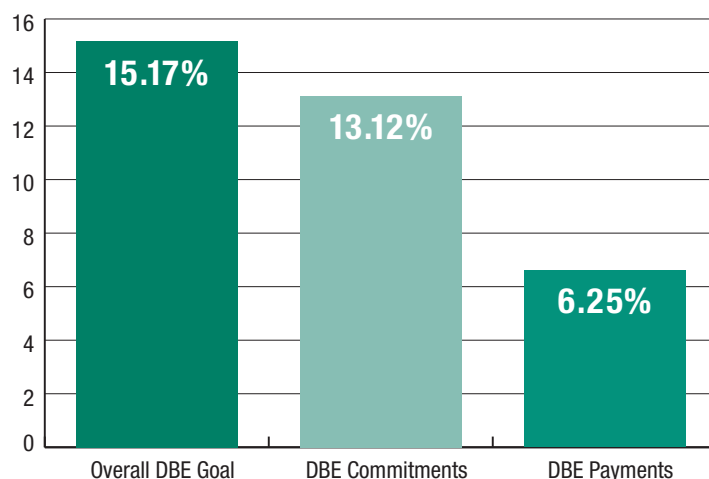
PROGRESS AT A GLANCE

AWV PROJECT-SPECIFIC EFFORTS



AGENCY PROGRESS

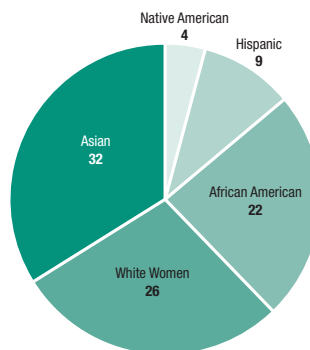
Federal Fiscal Year 2015 began October 1, 2014. As of January 16, WSDOT (Local Programs, Consultant Services and HQ Construction) is attaining 13.12 percent in DBE commitments (goals 11.41 percent and voluntary participation 1.71 percent) and 6.25 percent in payments to DBE firms. Since this includes only three and a half month's of DBE data it is not necessarily an accurate depiction of the agency's Federal Fiscal Year 2015 overall DBE participation.



The above DBE payments reflect a Commercially Useful Function review finding in which WSDOT was not able to count approximately \$1,500,000 in DBE Participation.

IMPROVING OVERSIGHT, EXPANDING OPPORTUNITIES:

Per FHWA's request, WSDOT conducted an analysis of the race/ethnicity and gender of the DBE firm owners working on the AWV project. As no new DBE firms are working on the project, the below information has not changed:



AWV PROJECT-SPECIFIC PROGRESS, BASED ON DBE PROGRESS REPORT #45, NOV. 2014

SEATTLE TUNNEL PARTNERS (STP) EFFORTS TO ACHIEVE DBE CONTRACT GOAL

- **DBE Subcontracting Oversight Committee.** Topics of discussion during the month of November included status reports on procurements that were underway and procurements that were identified as potential future opportunities for the DBE community. No new procurements were posted during the month of November due to on-going focus on repairing the Tunnel Boring Machine. Additional procurements are anticipated to occur by the end of January.
 - o Procurements under discussion during November included: a Filter Press System, Grease Supply, and Backfill of Access Shaft.
- **DBE Communications.** In the DBE Progress Report #45 for November 2014, STP reported that they advertised in a local Hispanic newspaper, El Mundo, and held their own monthly outreach sessions with the greater DBE community. STP also attended the Business After Hours Reception and the Washington Construction Design and Entrepreneurs meeting. (See Exhibit A, Attachment #1: STP Monthly DBE Progress Report # 45). A review of the Progress Report indicates that STP is planning events through February 2015. Planned and performed outreach includes the following:
 - o December 2015: STP attended a December 3, 2014 second DBE outreach and engagement session at South Sound Community College about upcoming opportunities, provided an educational session about design-build projects, and engaged community members in discussing STP's efforts toward meeting the DBE goal. STP attended the December 3, 2014 UW Business & Student Achievement Banquet in Seattle. STP planned to attend the December 4th National Association of Minority Contractors (NAMC) of Washington Meeting in Tacoma WA to answer questions, discuss opportunities, and encourage participation. STP attended the December 18, 2014 Northwest Mountain Minority Supplier Development Council Economic Summit in SeaTac to talk with DBE firms who were interested in working with STP.
 - o January 2015: STP will attend the monthly NAMC – Washington Chapter and Tabor 100 meetings, the WSDOT Seattle Opportunity Forums, and will host their third planned monthly DBE outreach event, which will include a presentation on the Backfill Access Shaft opportunity.
 - o February 2015: STP will host their fourth DBE Outreach and Engagement meeting on February 11, 2015. They are also planning to attend the monthly NAMC and Tabor 100 meetings.
- **Commercially Reasonable Discussions.** During the month of November, STP continued to work with the remaining DBE firm identified in the original FHWA Investigative Report. On December 17, 2014, the AWV Project Office issued a letter to STP closing the Commercially Reasonable Discussions. A letter of notification was submitted to FHWA on January 2, 2015.
- **Related STP activities anticipated for the next reporting period:**
 - o The Subcontracting Oversight Committee will continue to meet and advise STP on potential subcontracting opportunities.
 - o STP will continue communications and outreach to the DBE community.
- **DBE Outreach.** Outreach activities performed by the WSDOT HQ DBE Administrator for the AWV Replacement Program included:
 - o Attended the NAMC monthly meeting and provided updates regarding project progress and upcoming STP Outreach events.
 - o Continued outreaching to small DBE trucking firms regarding the backfill of the access shaft as part of the Tunnel Boring Machine recovery effort. Seattle Tunnel Partners is considering an On-Call Roster to facilitate the backfill. The work is tentatively scheduled for the first quarter of 2015.
 - o Participated in STP's outreach and engagement event and gathered feedback from small DBE trucking firms on how to best structure future bidding opportunity for greater DBE participation.
 - o Sent notifications of Outreach and Engagement events being held by STP.
 - o Met with three DBE firms regarding AWV DBE opportunities.
 - o Met with one DBE Staff Augmentation firm regarding future work opportunities.
 - o Met one-on-one with Printing firm to discuss opportunities on the AWV project.
 - o Continued work with a DBE Environmental firm on a purchase, installation and monitoring contracting opportunity. This includes the purchase and installation of a filter press machine along with the daily monitoring (The DBE firm bid on the contracting opportunity and was successful in winning the contract opportunity).

CERTIFICATION OF MONETARY COMMITMENTS

- AWV Project Staff followed the Monthly DBE Progress Report Verification Procedures in performing the verification of the \$90.1 million in STP claimed commitments and \$50.6 million in claimed participation reported by STP in Progress Report #45. (See Exhibit A: Memorandum, Validation STP Monthly DBE Progress Report #45).
- The AWV Program validation of the \$8.5 million in trucking participation, as claimed by STP in prior Progress Reports, is complete. WSDOT has verified the claimed participation and has received concurrence from WSDOT OEO and FHWA.
- Thus, to date, WSDOT has verified \$90.1 million in commitments and \$50.6 million in participation.
- **Work site Monitoring.** WSDOT monitors work sites to ensure work committed to each DBE is/has been actually performed by the DBE. During the reporting period of November, the following activities occurred:
 - o Five on-site reviews were performed on the project.
 - DBE onsite reviews will continue to be performed as new DBE firms are given work on the project or DBE firms come onto the project to work on current contracts.
 - o A review of payroll reports showed the total hours reported on verified Certified Payrolls were 4,877.25. This was 421 hours less than the 5,298.25 hours reported by the contractor. The breakdown, by skill craft, may be found in Exhibit B: DBE Certified Payrolls Hours Verification, November 2014.
 - The discrepancy between the STP reported hours and the certified payroll hours can be attributed to the following three contributing factors:
 - Subcontractor reports of utilization, which is what STP's reported hours are based on for "week ending", so a month utilization report could include hours from the previous month.
 - Certified payroll comparison is based on a calendar month.
 - Subcontractors may be late in submitting their certified payroll, resulting in missing hours.
- **Monetary commitments.**
 - o AWV received STP Progress Report #45 on December 22, 2014. (See Exhibit A: Attachment #1, STP Monthly DBE Progress Report # 45). STP's report claims the following:

- Contract DBE Goal	\$91,176,000.00
- Contract DBE Participation Requirement	\$96,000,000.00
- Current DBE Commitments	\$90,067,710.75
- Current Total Amount Paid to DBEs	\$73,947,330.79
- Current DBE Participation	\$50,577,359.76

UTILIZATION, PROMPT PAYMENT AND RETAINAGE

- STP has submitted information along with Progress Report #45, as required by Change Order #98. STP reported no new firms were added to the 93 DBE subcontractors working on the project, though the number of Subcontracts increased from 151 reported on Report No. 44 to 152 reported on Report No. 45. The 152 subcontracts utilized on the Tunnel project including engineering services, suppliers, vendors, manufacturers, and construction contractors. Comparing Report No. 45 to Report No 44 results in the following changes:
 - o 24 Commitment changes totaling \$1,147,331.00 increase. (Exhibit A: Attachment #2)
 - o 31 Invoiced to Date changes totaling \$3,404,734.69 increase. (Exhibit A: Attachment #3)
 - o 36 Payments to Date changes totaling \$3,329,752.06 increase. (Exhibit A: Attachment #4)
 - o 29 DBE Amount (as amended) changes totaling \$1,569,849.72 increase. (Exhibit A: Attachment #5)

MONITORING AND INVESTIGATION OF COMPLAINTS REGARDING DBE PARTICIPATION

- **Prompt Payment Issue Update.** As reported last month, a fourth tier contractor has filed a complaint regarding prompt payment issues. DBE is claiming that payments have been outside of the 45 day payment terms. This issue is still under review by the DBE Program Administrator who has requested invoice and payment history for all tiers to try to determine where and why payment delay is occurring.

WSDOT DBE PROGRAM-LEVEL PROGRESS

DBE BUSINESS DEVELOPMENT

- **Opportunity Forums.** WSDOT is hosting statewide Opportunity Forums to share information about transportation contracting prospects. At these forums, DBEs will also have an opportunity to network with WSDOT project staff, other purchasing personnel, and prime contractors. WSDOT is hosting six of these events statewide starting January 27th. For more information, please see Exhibit D: Opportunity Forum Flyer.
- **Get Certified Campaign.** WSDOT co-sponsored the Office of Minority and Women's Business Enterprises' (OMWBE) "Get Certified Campaign". This campaign includes over 35 workshops statewide on the benefits and certification process. WSDOT also participated in the Get Certified Reception on January 23rd.
- **Business Development and Technical Assistance.**
 - On January 7th, the interim Director of the Office of Equal Opportunity (OEO) met with the Procurement and Technical Assistance Center (PTAC) staff to discuss furthering the partnership of WSDOT and PTAC to benefit the DBE community. As a result of that conversation, WSDOT paid for the tuition of eight DBEs to participate in the "Writing Proposals: All You Need to Know to Win" course. OEO will continue to look for ways to partner with PTAC on providing technical assistance to DBEs.
 - On January 8th, WSDOT and Association of General Contractors held their annual meeting. WSDOT extended invitations to various members of the DBE Advisory Group including members from the NAMC, Northwest Mountain Minority Supplier Development Council, Hispanic Chamber of Commerce, Tabor 100, etc. During this meeting information regarding the upcoming Opportunity Forums was shared with participants.
 - On January 8th, the interim Director of OEO and the interim DBE Liaison Officer attended the NAMC – Washington Chapter meeting and shared information regarding the upcoming Opportunity Forums.
 - On January 12th, the interim Director of OEO met with the USDOT – Office of Small and Disadvantaged Business Utilization, Small Business Transportation Resource Center (SBTRC) staff to discuss furthering the partnership of WSDOT and SBTRC to benefit the DBE community.
 - On January 31st, the interim Director of OEO and the interim DBE Liaison Officer will attend the Tabor 100 General Membership meeting and share information regarding the upcoming Statewide Trainings.

INTERNAL TRAINING AND EVALUATION

- **Statewide Contractor and Staff DBE Program Training.** WSDOT will be conducting Contractor and Local Agency/WSDOT Staff Civil Rights Program Trainings. These trainings are geared toward contractors (both DBE and non-DBE) and Local Agency/WSDOT Staff and will provide participants with a variety of program updates and overviews. They will also better prepare companies to work for WSDOT as a DBE and work with the DBE Program. The trainings start in early February and are occurring statewide, in seven locations for WSDOT contractors and eight locations for Local Agency/WSDOT Staff. For more information, please see Exhibit E: Statewide Training Information.

DBE MONITORING PROCESS AND PROCEDURES

- **DBE Payment Program.** The Local Programs Divisions implemented the DBE Payment Program on January 9, 2015. All Local Programs projects awarded on or after October 1, 2014 will start to be entered into the program. The Consultant Service Office (CSO) is using the DBE Payment Program on all agreements executed after January 1, 2015 that contain federal funding. CSO may supplement some of the larger previously executed agreements to use the DBE Payment Program.

EXHIBITS:

Exhibit A: Memorandum, Validation STP Monthly DBE Progress Report #45

Attachment #1, STP DBE Progress Report No. 45, November 2014

Attachment #2, DBE Commitment Validation

Attachment #3, DBE Invoice to Date Validation

Attachment #4, DBE Total Payments Validation

Attachment #5, DBE Amount (as amended) Validation

Exhibit B: DBE Certified Payrolls Hours Verification, November 2014

Exhibit C: List of DBE Subcontracts

Exhibit D: Opportunity Forum Flyer

Exhibit E: Statewide Training Information

Americans with Disabilities Act (ADA) Information: This material can be made available in an alternate format by emailing the WSDOT Diversity/ADA Affairs team at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Statement to Public: It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator, Jonté Robinson at (360) 705-7082.



Seattle Tunnel Partners
999 Third Avenue, Suite 2424
Seattle, WA 98104

WSDOT Contract No. 007999
Federal Aid No. BR-NH-STP-STPF-0099(111)
SR 99 Tunnel Project
Phone: 206-971-8701 Fax: 206-971-8702

Seattle Tunnel Partners

SR 99 Tunnel Project

Monthly DBE Progress Report No. 45

Progress Report Period: November 1, 2014 – November 30, 2014

Submission Date: Monday, December 22, 2014

DBE participation progress

Change Order 91 – Contractual Requirement:	\$96,000,000
DBE Goal:	\$91,176,000
Current DBE commitments:	\$90,067,710.75
Percent of DBE commitments:	7.903%
DBE participation:	\$50,577,359.76
Percent of DBE participation :	4.438%

Please see attached STP Form DBE09 for further details.

Current DBE Efforts

Opportunities

STP is submitted our quarterly DBE action plan November 5th. The plan provided anticipated upcoming contracting opportunities where STP will work to maximize DBE participation. Remaining contracting opportunities are broken down by scope, estimated dollar value, and time frame the work is anticipated to be available. STP does not have additional work to add to this list for this month's report.

STP November RFPs/RFOs

STP made the following changes for recent RFPs and/or bid evaluations during November to provide greater opportunities for DBE firms:

1. Filter Press System: STP extended the bid close date to provide additional time for a DBE firm interested in bidding the scope (as listed in October's report). The DBE firm's bid price received was not in a competitive range. STP management met with the DBE firm to discuss pricing. STP evaluated filter press system needs and identified longer terms that STP could commit to renting. STP has provided an additional opportunity for the DBE firm to submit pricing with the revised time commitment. STP continues to incur additional costs as the rental of the filter press system is delayed. STP remains committed to DBE participation and will continue to make adjustments to provide the greatest opportunities for interested DBE firms.

2. Backfill of the Access Shaft (Trucking): STP identified ways in which STP can include more DBE trucking firms as 1st tiers. STP proposed ideas to WSDOT on revised procurement methods for the Backfill of the Access Shaft. STP scheduled a DBE meeting for January 14, 2015 to discuss this scope with the DBE community.
3. Grease Supply: STP provided information about grease supply and firms identified that might perform this work to the STP Subcontracting Oversight Committee. STP requested assistance from OMWBE in identifying firms and reaching out to WMBE firms that might qualify for this scope. OMWBE reviewed STP's searches in the OMWBE database and will be communicating with firms.

November DBE Contract Negotiations

STP negotiated change orders and purchase orders with DBE firms already on contract based on changes in information and/or work previously awarded.

STP carved out work from a lower tier contractor for electrical supplies and negotiated contract award with the only DBE regular dealer for electrical supply company; Mobile Electric Distributors, Inc.

STP Outreach

STP conducts outreach in order to reach DBEs and provide information about the project, future contracting opportunities, contact information, and how to access work. STP also conducts outreach to encourage DBE firms to bid work on the project. STP is also working with WSDOT to provide information to the broader women and minority community.

November Outreach

Ad in El Mundo

STP advertised in El Mundo. El Mundo provides editorial content available for Washington's Hispanic community. El Mundo's digital and hard copy newspaper is published in Spanish.

STP has exceeded the percent of contract awards and dollars for each ethnic/race group represented by DBE firms (African American, Asian American, Hispanic, Native American, and women), as a percent of the region's population, except Hispanic owned firms. It is important to STP to be inclusive of all diverse community groups and hopes our ad will provide more awareness about the DBE program, our project, and interest in bidding.

November 12, 2014, STP DBE Outreach and Engagement Meeting

STP launched monthly DBE outreach and engagement meetings to provide updates to DBE firms about upcoming opportunities, provided a U.S. Department of Transportation education session about DBE certification, and engage community members in discussing STP's efforts and progress towards the DBE goal. The first event took place at South Seattle Community College Georgetown Campus from 8:00 a.m. – 10:00 a.m.

November 13, 2014, Champion of Inclusion Awards and Business After Hours reception

This event is hosted by the Port of Seattle, Sound Transit and Washington State Department of Transportation. This was an opportunity for prime, local sub-contractors and architectural and engineering firms to network with each other. This event included an award ceremony where each

hosting agency recognized a prime contractor and/or consultant as champions of small business utilization. STP was the first entity to receive the champion of small business utilization award from Washington State Department of Transportation (2011). STP participated this year with a booth and attendance. STP provided handouts with upcoming opportunities, which included anticipated dates and estimated dollar values. STP also provided a DBE resource handout and information about STP's DBE efforts.

November 21, 2014, Washington Construction Design & Entrepreneurs (CDE)

This meeting is oriented towards upcoming projects and opportunities for small businesses. The November meeting was held at the Ron Sandwith Teen Center in Federal Way, WA. STP's attendance at this event served as an opportunity to touch base with DBE firms and local small and WMBE businesses that might be able to become DBE certified. STP notified attendees about the December 3rd outreach and engagement meeting and also provided project opportunity handouts. STP also provided project updates during one-on-one discussions with DBE firms specific to their areas of work.

December Outreach

December 3, 2014, STP DBE Outreach and Engagement Meeting

The second DBE outreach and engagement meeting took place on December 3, 2014 at South Seattle Community College Georgetown Campus. STP provided updates to DBE firms about upcoming opportunities, provided an educational session about design-build projects, and engaged community members in discussing STP's efforts and progress towards the DBE goal. STP provided information about the next meeting and STP's scope specific meeting for trucking.

December 3, 2014, UW Business & Student Achievement Banquet

STP attended the December 3, 2014 UW Business & Student Achievement Banquet in Seattle, WA. STP was available to talk with DBE firms interested in working with STP and answer questions about how DBE contractors can obtain work on the project. STP hopes our involvement will encourage DBE firms to bid on upcoming work.

December 4, 2014, National Association of Minority Contractors of Washington

STP attended the December 4, 2014 National Association of Minority Contractors of Washington (NAMC WA) meeting in Tacoma, WA. STP attended this event in order to answer questions about how DBE contractors can obtain work on the project and follow up with requests for DBE project updates. STP notified attendees about STP opportunities, DBE outreach and engagement meeting, and Backfill Access Shaft (trucking) meeting. STP hopes our attendance will encourage DBE contractors to participate in upcoming opportunities.

December 18, 2014, Northwest Mountain Minority Supplier Development Council Economic Summit

STP attended the December 18, 2014 Northwest Mountain Minority Supplier Development Council Economic Summit in SeaTac, WA. STP was available to talk one-on-one with DBE firms interested in working with STP and answer questions about how DBE contractors can obtain work on the project. STP hopes our involvement will encourage DBE firms to bid on upcoming work.

Planned January Outreach

January 8, 2015, National Association of Minority Contractors of Washington (NAMC WA)

STP plans to attend the NAMC WA meeting in order to answer questions about how DBE contractors can obtain work on the project and follow up with requests for DBE project updates. STP will also use this as an opportunity to share future STP opportunities handout and information about the next DBE outreach and engagement meeting. STP hopes our attendance will encourage DBE contractors to participate in upcoming opportunities

January 14, 2015, STP DBE Outreach and Engagement Meeting

STP DBE outreach and engagement meeting is scheduled for January 14th at South Seattle Community College Georgetown Campus.

January 14, 2015, STP Backfill Access Shaft (Trucking) Meeting

STP will have a procurement specific meeting on the Backfill Access Shaft directly following the DBE outreach and engagement meeting on January 14th at South Seattle Community College Georgetown Campus.

January 17, 2015, Tabor 100 General Membership Meeting

STP plans to attend the Tabor 100 General Membership meeting in order to answer questions about how DBE contractors can obtain work on the project and follow up with requests for DBE project updates. STP will also use this as an opportunity to share future STP opportunities handout and information about the next DBE outreach and engagement meeting. STP hopes our attendance will encourage DBE contractors to participate in upcoming opportunities.

Planned February Outreach

February 5, 2015, National Association of Minority Contractors of Washington (NAMC WA)

STP plans to attend the NAMC WA meeting in order to answer questions about how DBE contractors can obtain work on the project and follow up with requests for DBE project updates. STP will also use this as an opportunity to share future STP opportunities handout and information about the next DBE outreach and engagement meeting. STP hopes our attendance will encourage DBE contractors to participate in upcoming opportunities

February 11, 2015, STP DBE Outreach and Engagement Meeting

STP DBE outreach and engagement meeting is scheduled for February 11th at South Seattle Community College Georgetown Campus.

February 21, 2015, Tabor 100 General Membership Meeting

STP plans to attend the Tabor 100 General Membership meeting in order to answer questions about how DBE contractors can obtain work on the project and follow up with requests for DBE project updates. STP will also use this as an opportunity to share future STP opportunities handout and information about the next DBE outreach and engagement meeting. STP hopes our attendance will encourage DBE contractors to participate in upcoming opportunities.

MONTHLY DBE PROGRESS REPORT (From DBE-09)
REPORT FOR MONTH ENDING: 11/30/14

Contract:
Number: 007999

Contract Title:
AWV SR 99 Bored Tunnel Alternative Design-Build Project

Contract Amount (as amended): \$ 1,363,347,360.58 % Complete: 70%
DBE Goal Dollar Value: \$ 91,176,000.00
DBE Goal: 8%

Prime Contractor:
Seattle Tunnel Partners

Through 10/31/2014 (all numbers exclude WSST)
Total payment to Prime to date: \$ 959,146,443.64
Total amount invoiced to date: \$ 974,998,167.82
Amount of last payment to Prime: \$ 11,003,495.98

Date of last payment to Prime: 11/12/2014
Total of last payment to Prime: \$ 11,003,495.98
Total value of DBE commitments: \$ 90,067,710.75
% of DBE Commitments: 7.903%

Total value of DBE amount (as Amended): \$ 50,577,359.76
% of DBE Amount (as Amended): 4.438%

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
FIRST TIER	FIRST TIER								
AAR Testing Laboratories, Inc.	#D2F4708231	Testing	19-Dec-12	100%	\$ 720.00	\$ 720.00	\$ -	\$ 720.00	\$ 720.00
Advanced Underground Utilities	#D4M0023433	NOB Underground Utilities	12-Sep-14	0%	\$ 285,647.50	\$ -	\$ -	\$ -	\$ -
Amaya Electric	#D5M0200177	Mitigation Buildings - Electrical	1-Aug-13	100%	\$ 24,237.20	\$ 24,237.20	\$ -	\$ 24,237.20	\$ 24,237.20
Bear Wood Windows	#D4F0022489	NOB/SOB - Furnish & Install Shades, Kitchen Cabinets	1-Aug-14	0%	\$ 31,605.00	\$ -	\$ -	\$ -	\$ -
BESTCCLS INC	#D3M9319663	Survey	8-Feb-12	100%	\$ 189,938.00	\$ 189,938.00	\$ -	\$ 189,938.00	\$ 189,938.00
C2PM	#D4F9620654	Design Quality Assurance Manager	1-Sep-11	100%	\$ 416,500.00	\$ 416,500.00	\$ -	\$ 416,500.00	\$ 416,000.00
Catherine Bassetti	#D2F2313819	Progress photography	5-Mar-12	100%	\$ 37,975.86	\$ 37,975.86	\$ -	\$ 31,485.86	\$ 30,894.36
CivilTech Engineering	#D4M0018825	Design & Consulting	31-Jul-2012	100%	\$ 521,078.53	\$ 521,078.53	\$ -	\$ 521,078.53	\$ 521,078.53
DBE Electric	#D2F1021272	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	28-Feb-12	100%	\$ 1,005,698.49	\$ 1,005,698.49	\$ -	\$ 987,067.07	\$ 641,798.40
DHD Trucking	#D3M9620749	Street Sweeping	10-Jan-12	100%	\$ 1,099,817.50	\$ 1,099,817.50	\$ 25,515.00	\$ 1,085,192.50	\$ 1,085,192.50
Duncan Asphalt	#DM5M9822253	MOT-2 SB & SBOFF Paving and CSBC Supply	11-Apr-14	19%	\$ 40,000.00	\$ 51,630.60	\$ -	\$ 49,049.07	\$ -
Environmental Management Training	#D3M9820748	Safety Training	3-Jan-12	100%	\$ 22,575.00	\$ 22,575.00	\$ -	\$ 22,345.00	\$ 22,345.00
EPC Consultants, Inc.	#D4M0022954	Tunnel Quality Assurance	8-Jul-2013	100%	\$ 895,570.00	\$ 895,570.00	\$ 57,362.00	\$ 895,570.00	\$ 860,430.00
Exeltech Consulting Inc	#D4M2304220	PreConstruction Condition Survey	4-Aug-2011	100%	\$ 374,988.58	\$ 374,988.58	\$ 37,437.33	\$ 374,988.85	\$ 374,988.85
Fryer-Knowles, Inc.	#D2F5010810	Ceramic Tile: North Operations Building and South Operations Building	22-Nov-2013	0%	\$ 226,954.00	\$ -	\$ -	\$ -	\$ -
Garner Construction	#D2F5108989	24/7 Crane Rental w/ Operator for Access Shaft Excavation	29-Aug-2014	100%	\$ 92,430.06	\$ 92,430.06	\$ 72,969.01	\$ 72,969.01	\$ 72,969.01
Global Concrete Cutting	#D3M0022412	Concrete Sawing/Cutting	31-Oct-2013	100%	\$ 1,700.00	\$ 1,700.00	\$ -	\$ 1,615.00	\$ 1,615.00
Global Geophysics	#M4M0021256	Vibration Monitoring	21-May-2012	100%	\$ 527,494.42	\$ 527,494.42	\$ 16,150.67	\$ 527,494.42	\$ 527,494.42
Grady Excavating	#D2W0020267	South Portal Trucking	11-Apr-2012	100%	\$ 881,412.65	\$ 881,412.65	\$ -	\$ 881,412.65	\$ 724,412.60
Pro USA (LT to Grady)	#D3M9422332	South Portal Trucking	24-Jul-2012	100%	\$ -	\$ -	\$ -	\$ -	\$ 30,469.50
Greylock Consulting LLC	#D2F8019850	Environmental Consulting Services	1-Oct-2011	100%	\$ 268,711.83	\$ 269,796.34	\$ 887.01	\$ 268,711.83	\$ 144,041.98
On-Site Environmental Inc. (LT to Greylock)	#M3M0010361	Environmental Analytical Testing	14-Dec-2011	100%	\$ -	\$ -	\$ -	\$ -	\$ 69,651.75
J. Harper Contractors Inc.	#D4M5310119	Demolition and Material Crushing	1-Nov-2011	100%	\$ 1,473,764.00	\$ 1,473,764.00	\$ -	\$ 1,473,764.00	\$ 1,455,382.26
J. Kelsner & Associates	#D5F0023416	DBE Coordinator - Consulting	30-Apr-2014	100%	\$ 60,421.20	\$ 60,421.20	\$ 8,400.00	\$ 48,621.20	\$ 17,350.00
JP Francis & Associates, Inc.	#M3M0018112	Mitigation building - plumbing and mechanical	24-Jul-2013	100%	\$ 53,510.86	\$ 53,510.86	\$ -	\$ 50,835.32	\$ 50,835.32
KBA Inc/ Kristen Betty & Associates Inc.	#D2F4711296	Quality Control Temporary Assistance (File Clerk); inspection services	5-Dec-2012	100%	\$ 284,310.00	\$ 284,310.00	\$ -	\$ 284,310.00	\$ 284,310.00
Leajak Concrete Construction Inc	#D3M5310714	Terminal 46 Hide Area BMP Relocation/ Terminal 46 Reefer Racks - Foundation Works	8-May-2012	100%	\$ 739,114.07	\$ 739,114.07	\$ -	\$ 739,114.07	\$ -
Global Concrete Cutting (LT to Leajak)	#D3M0022412	Concrete cutting	26-Jun-12	100%	\$ -	\$ -	\$ -	\$ -	\$ -
Kiawa Trucking LLC (LT to Leajak)	#D3M8019933	Trucking	28-Jun-12	100%	\$ -	\$ -	\$ -	\$ -	\$ -
Sunset Welding Inc. (LT to Leajak)	#D5M0018072	Rebar	30-Jun-12	100%	\$ -	\$ -	\$ -	\$ -	\$ -

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Lorache CAD/IT Services LLC	#D3M8218532	T-46 - RTG Pavement Strengthening	5-Apr-2012	100%	\$ 439,521.57	\$ 439,521.57		\$ 403,898.05	\$ -
Leajak Concrete Construction Inc (LT to Lorache)	#D3M5310714	Excavating, install crush rock and pour concrete	1-Jul-2012	100%					\$ 42,257.94
Sunset Welding Inc (Subcontract to Leajak; 3rd tier under Lorache)	#D5M0018072	Rebar	10-Jul-2012	100%					\$ 7,800.00
Magnum Crane LLC	#D1M8719508	Crane, Maint, Operator, fuel, riggings	17-Dec-2012	100%	\$ 53,712.51	\$ 53,712.51	\$ -	\$ 53,712.51	\$ 53,712.51
Marshbank	#D1M0011507	Sewer Relocation	7-Aug-2012	98%	\$ 1,304,577.48	\$ 1,279,562.53	\$ -	\$ 1,215,584.39	\$ 1,059,402.86
Sundancer (LT to Marshbank)	#D1M0022607	Electrical	15-May-2014						\$ -
ProUSA (LT to Marshbank)	#D3M0022332	Trucking							\$ -
Mar-Vac (Marine Vacuum Service Inc.)	#M4M0002341	Remove Underground Tank near Western Building	24-Oct-2012	100%	\$ 10,802.00	\$ 10,802.00	\$ -	\$ 10,802.00	\$ 10,802.00
Mar-Vac (Marine Vacuum Service Inc.)	#M4M0002341	Vac-truck services	18-Jul-2012	100%	\$ 178,466.02	\$ 178,466.02		\$ 170,872.82	\$ 170,872.82
MindSeekers, LLC	#D3M6917745	Temporary Staffing	27-Feb-2013	100%	\$ 253,803.36	\$ 253,803.36	\$ 17,371.36	\$ 237,465.82	\$ 237,465.82
Neppel Electrical	#D5M0017938	Harrison Street Hangers and Conduit	31-Jul-2014	0%	\$ 473,613.72	\$ -	\$ -	\$ -	\$ -
Nolan Morrison	#D2F5522304	Document Control Consulting Svcs.	19-Sep-2011	100%	\$ 39,800.00	\$ 39,800.00	\$ -	\$ 39,800.00	\$ -
Oatridge Security Group Inc	#D5M8421939	Security Guard Services	10-Oct-2011	100%	\$ 3,916,447.00	\$ 3,916,447.00	\$ 119,307.00	\$ 3,780,863.50	\$ 3,767,369.50
OMA Construction Inc.	#D4M2316494	North & South Portal Hauling; Trucking hourly	25-Sep-2012	90%	\$ 18,506,125.29	\$ 16,685,839.56	\$ 580,146.17	\$ 16,685,839.56	\$ 11,559,521.75
C & E Transport Corp (LT to OMA)	#D3M0022750	Trucking	18-Nov-2013	100%					\$ -
JMR Trucking (LT to OMA)	#D3M6917511	Trucking	1-Dec-2012	100%					\$ 52,196.18
Maks Dump Truck (LT to OMA)	#D3M0019934	Trucking	1-Dec-2012	100%					\$ 133,755.00
Ponglia Trucking Inc. (LT to OMA)	#M4M0019913	Trucking	17-Jan-2013	100%					\$ 132,683.75
Puget Sound Utilities (LT to OMA)	#D2F0022597	Trucking	17-Jul-2013	100%					\$ 12,622.50
SSB Trucking & Construction (LT to OMA)	#D4M1020033	Trucking	26-Jun-2013	100%					\$ -
Tan Trucking (LT to OMA)	#D3M0020597	Trucking							\$ -
Trinity Development (LT to OMA)	#D3M7217852	Trucking	17-Oct-2012	100%					\$ 41,630.00
OMA Construction Inc.	#D4M2316494	Safe Haven No. 003	11-Jan-2013	25%	\$ 300,000.00	\$ 73,603.70		\$ 73,603.70	\$ -
Onelli Service Group	#D5M9820775	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	100%	\$ 572,456.53	\$ 572,456.53	\$ -	\$ 572,456.53	\$ 452,249.90
Osiris Enterprises LLC	#D3M1021155	Janitorial Services	12-Jan-2012	100%	\$ 242,341.50	\$ 242,341.50	\$ 10,829.00	\$ 231,512.50	\$ 125,695.96
Rose Super Clean and Park Management (LT to Osiris)	#D3M0020597	Janitorial Services	6-Apr-2012	100%					\$ 100,943.54
PRR, Inc.	#D4F3908454	Subconsultant - Public Information	22-Feb-2011	89%	\$ 779,490.00	\$ 693,355.60	\$ 13,556.00	\$ 679,456.77	\$ 673,345.53
Saez Consulting Engineers Inc. (dba SCE, Inc.)	#M5M0019808	Utility Monitoring Professional Services	31-Aug-2012	100%	\$ 169,241.15	\$ 169,241.15	\$ -	\$ 169,241.15	\$ 169,241.15
Savage Logistics, LLC	#D2F8020092	Freight	28-Mar-2013	100%	\$ 645,807.50	\$ 645,807.50	\$ 24,349.50	\$ 623,549.50	\$ 623,549.50
Sea Tac Sweeping Service (dba Al Vinson)	#D3M6015063	Street Sweeping	5-Dec-2011	100%	\$ 8,360.00	\$ 8,360.00	\$ -	\$ 8,360.00	\$ 8,360.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Crane Maintenance Facility	5-Jun-2012	100%	\$ 1,858,973.12	\$ 1,858,973.12	\$ -	\$ 1,848,973.12	\$ -
Adept Mechanical (LT to ST Fabrication)	#D3M0018841	Mechanical	25-Sep-2012	100%					\$ -
Emerald City Weatherproofers (LT to ST Fabrication)	#D4M5111591	Weather Barrier Waterproofing	1-Oct-2012	100%					\$ 33,703.35
Gibson Door & Millwork (LT to ST Fabrication)	#D2F5112317	Door and Hardware Installation	17-Sep-2012	100%					\$ 10,452.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Reefer Racks	30-May-2012	100%	\$ 1,949,279.54	\$ 1,946,410.88		\$ 1,946,410.88	\$ 1,439,053.52
Steellorr	#D4M8822652	Furnish and install all structural steel	31-Oct-2013	43%	\$ 7,639,052.00	\$ 3,286,388.82	\$ 415,782.23	\$ 3,122,069.39	\$ 165,986.33
Sundancer Electric Inc.	#D1M8522607	Tunnel Electrical	17-Jan-2013	100%	\$ 4,010,688.46	\$ 4,010,688.46	\$ 97,625.89	\$ 3,958,754.31	\$ -
Transcon Company LLC	#D4M1022071	Underground Electrical Duct Bank Work	12-Dec-2013	100%	\$ 2,312,336.49	\$ 2,302,776.64	\$ -	\$ 2,302,776.64	\$ 2,006,706.13
TripleNet Technologies	#D5M0018439	STP Website	9-Jan-2012	100%	\$ 7,762.00	\$ 7,762.00	\$ -	\$ 7,762.00	\$ 7,762.00
United Professional Caulking	#D5F0016236	Harrison Street Expansion Joints	12-Aug-2014	0%	\$ 79,250.00	\$ -			\$ -
Washington State Trucking	#D3M0021431	Haul and dispose of 130 tunnel segments	12-Aug-2014	100%	\$ 184,470.00	\$ 184,470.00	\$ 39,894.73	\$ 179,106.42	\$ -
VENDORS	VENDORS								
Birch Equipment Rental & Sales, Inc.	#D2F0022284	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	100%	\$ 286,335.54	\$ 286,335.54	\$ -	\$ 286,335.54	\$ 171,801.32
Excel Supply Company	#D4F2312899	Safety Supplies	NA	100%	\$ 17,885.69	\$ 17,885.69	\$ -	\$ 17,885.69	\$ 10,731.41

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Highway Specialties LLC	#D2F0019389	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	100%	\$ 231,270.47	\$ 231,270.47	\$ 158.78	\$ 230,965.57	\$ 138,579.34
*Mobile Electrical Distributors	#D2F0017012	Electrical Supply	25-Nov-2014				\$ -		
Quality Discount Supplies & Services (John W. Quarles)	#D3M0700021	Office Supplies	NA	100%	\$ 4,977.18	\$ 4,977.18		\$ 4,977.18	\$ 2,986.31
ST Fabrication/ Jabez	#D4M0018443	Fabrication & Supply	NA	89%	\$ 2,348,631.11	\$ 2,090,163.24	\$ 47,688.15	\$ 2,090,163.24	\$ 1,636,058.37
The Bag Lady	#D2F0016394	Costruction Entrance Plates & Straw bales	NA	100%	\$ 285,221.68	\$ 285,221.68	\$ 903.38	\$ 285,221.68	\$ 171,133.01
Wayron	#D6F0021571	Fabrication	9-Jan-2012	100%	\$ 2,659,838.44	\$ 2,659,838.44	\$ -	\$ 2,659,838.44	\$ 2,658,288.40
West Coast Printing	#D4M0100930	Business Cards	NA	100%	\$ 19,402.45	\$ 19,402.45	\$ -	\$ 19,402.45	\$ 19,402.45
LOWER TIER									
AMEC (Non-DBE sub)	AMEC								
NVL Laboratories (LT to AMEC)	#D4M5014199	Asbestos Analysis, sampling	12-Dec-2011	100%	\$ 16,255.02	\$ 16,255.02		\$ 16,255.02	\$ 16,029.02
BASF (Non-DBE sub) - EASI	BASF								
JP Francis & Associates (LT to BASF, 3rd tier under EASI)	#M3M0018112			100%	\$ -	\$ -		\$ -	
BASF - KTI Logistics (Non-DBE sub)	BASF								
Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	#D2F8020092	Freight	17-Jun-2013	100%	\$ 14,949.00	\$ 14,949.00		\$ 14,949.00	\$ 14,949.00
FD THOMAS (Non-DBE sub)	FD THOMAS								
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	#D2F4817643	Waterproofing Material Supplier	4-Sep-2013	100%	\$ 873,397.07	\$ 873,397.07	\$ 39,521.53	\$ 912,918.60	\$ 473,850.03
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)	FCCC								
CivilTech Engineering (LT to FCCC)	#D4M0018825	Design services	1-Sep-2011	100%	\$ 92,527.50	\$ 92,527.50		\$ 92,527.50	\$ 92,527.50
DBE Electric (LT to FCCC)	#2F1021272	Electrical MOT Ph. 1A.2	3-Dec-2011	100%	\$ 172,944.68	\$ 172,944.68		\$ 172,944.68	\$ 122,485.04
Grady Excavating, Inc. (LT to FCCC)	#D2W0020267	Trucking	3-Dec-2011	100%	\$ 47,229.49	\$ 47,229.49		\$ 47,229.49	\$ 47,229.49
Rebar International Inc. (LT to FCCC)	#D2F2606440	Pile caps at micro pile; Supply and install reinforcing steel	23-May-2012	100%	\$ 28,415.44	\$ 28,415.44		\$ 28,415.44	\$ 28,415.44
Transcon (LT to FCCC)	#D4M1022071	Install electrical conduit	12-Jan-2012	100%	\$ 203,495.69	\$ 203,495.69		\$ 203,495.69	\$ 203,495.69
Frank Coluccio Construction Company - FD Thomas (Non-DBE sub)	FCCC - FD Thomas								
AAR Testing Laboratories Inc (LT to FD Thomas, 3rd tier under FCCC)	#D2F4708231	Laboratory Testing	1-Aug-2012	100%	\$ 19,586.50	\$ 19,586.50		\$ 19,586.50	\$ -
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)	FPS ENCON PRECAST, LLC								
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast tunnel liner segments. One segment per shipment. (PO 804)	14-Jun-2013	100%	\$ 81,558.00	\$ 81,558.00		\$ 81,558.00	\$ 81,558.00
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013	100%	\$ 1,821,257.37	\$ 1,821,257.37	\$ 268.53	\$ 1,821,257.37	\$ 1,821,257.37
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Pick up trailer & insurance monthly payment (PO 1279)	20-Nov-2013	100%	\$ 11,956.18	\$ 11,956.18	\$ 89.70	\$ 11,956.18	\$ -
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Rental of 2 diesel heaters & delivery (PO 1335)	5-Dec-2013	100%	\$ 2,143.97	\$ 2,143.97		\$ 2,143.97	\$ -
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Freight (PO 778)	26-Jun-2013	100%	\$ 828.00	\$ 828.00		\$ 828.00	\$ 828.00
HARRIS REBAR (Non-DBE sub)	HARRIS REBAR								
Rebar International Inc. (LT to Harris Rebar)	#D2F2606440	Install reinforcing steel bars	1-Aug-2013	100%	\$ 85,047.31	\$ 85,047.31		\$ 85,047.31	\$ -
HNTB (Non-DBE sub)	HNTB								
3D InFusion (LT to HNTB)	#D4M0018480	CADD Drafting	23-Apr-2012	100%	\$ 22,571.00	\$ 22,571.00		\$ 22,571.00	\$ 22,571.00
Berona Engineers Inc. (LT to HNTB)	#D4M5110441	Mechanical Engineering	5-Apr-2012	100%	\$ 122,373.75	\$ 122,373.75		\$ 122,373.76	\$ 122,373.76
Bolima Drafting & Design Inc (LT to HNTB)	#D1M0205127	CADD Drafting	18-Aug-2011	100%	\$ 601,225.43	\$ 601,225.43		\$ 601,225.43	\$ 601,225.43
Casseday Consulting (LT to HNTB)	#D2F9821042	Traffic & Transportation	29-Jul-2011	100%	\$ 4,350.95	\$ 4,350.95		\$ 4,350.95	\$ 4,350.95
Chudgar Engineering Company (LT to HNTB)	#D4M9019387	Structural Engineering	20-Sep-2011	100%	\$ 101,510.22	\$ 101,510.22		\$ 101,510.22	\$ 101,510.22

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CivilTech Engineering (LT to HNTB)	#D4M0018825	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	100%	\$ 857,615.96	\$ 857,615.96	\$ 4,244.12	\$ 774,490.14	\$ 774,490.14
Convergent Pacific (LT to HNTB)	#D4M0018854	Structural Engineering	28-Oct-2011	100%	\$ 176,417.44	\$ 176,417.44		\$ 176,363.27	\$ 176,363.27
DKA (LT to HNTB)	#D3M2403468	CADD Drafting & Architectural Services	5-Aug-2011	100%	\$ 492,750.26	\$ 492,750.26		\$ 492,750.26	\$ 249,022.73
Earth Mechanics, Inc. (LT to HNTB)	#D4M8020108	Geotechnical Seismic Design & Analysis	1-May-2011	100%	\$ 634,350.12	\$ 634,350.12		\$ 634,350.12	\$ -
Integrated Design Engineers, LLC (LT to HNTB)	#D4M8719103	Structural & Civil Engineering	6-Jan-2012	100%	\$ 990,328.53	\$ 990,328.53	\$ 12,688.20	\$ 961,350.18	\$ 961,350.18
Osborn Consulting Inc. (LT to HNTB)	#D2F0019030	Temporary Erosion & Sediment Control Backwater analysis	1-May-2011	100%	\$ 481,563.99	\$ 481,563.99		\$ 481,563.99	\$ 481,563.99
Osborn Pacific Group Inc. (LT to HNTB)	#D2F0000139	Landscape Architectural Services	5-Mar-2012	100%	\$ 33,377.30	\$ 33,377.30		\$ 33,377.30	\$ 33,377.30
Saez Consulting Engineers Inc. (LT to HNTB)	#M5M0019808	Utility Engineering Services & Structural Engineering	1-May-2011	100%	\$ 383,799.36	\$ 383,799.36		\$ 377,156.13	\$ 373,693.63
Schemata Workshop (LT to HNTB)	#D4F0021115	Architectural Specifications	16-Apr-2012	100%	\$ 182,837.53	\$ 182,837.53		\$ 182,659.93	\$ 182,659.93
Wakerobin (LT to HNTB)	#D2F0019744	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	100%	\$ 90,320.00	\$ 90,320.00	\$ 3,516.90	\$ 81,910.90	\$ 81,910.90
Yonemitsu Geological Services (LT to HNTB)	#D4M0013447	Groundwater Monitoring	8-Jul-2011	100%	\$ 6,132.18	\$ 6,132.18		\$ 6,132.18	\$ 6,132.18
HNTB - HART CROWSER INC. (Non-DBE sub)	HNTB - HART CROWSER INC.								
Global Geophysics (LT to HNTB)	#M4M0021256	Geophysical Consulting Services	1-May-2011	100%	\$ 27,000.00	\$ 27,000.00		\$ 27,000.00	\$ 27,000.00
Holocene Drilling Inc (Non-DBE sub)	HOLOCENE DRILLING								
Yonemitsu Geological Services	#D4M0013447	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	100%	\$ 99,556.31	\$ 99,556.31		\$ 27,916.25	\$ 27,916.25
HOS BROS. CONSTRUCTION (Non-DBE sub)	HOS BROS.								
ProUSA	#D3M0022332	Trucking	23-Nov-2013	100%	\$ 99,985.00	\$ 99,985.00		\$ 99,985.00	\$ -
JH KELLY (Non-DBE sub)	JH KELLY								
Scheduling and Information Services (LT to JH Kelly)	#D2F8218862	Project Controls and Scheduling	8-Apr-2013	49%	\$ 550,000.00	\$ 267,862.50	\$ 11,020.00	\$ 224,781.88	\$ 182,156.88
JH KELLY - DESERT MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL								
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D4M2316494	Underground Piping	1-Oct-2012	48%	\$ 112,640.00	\$ 54,395.00		\$ 51,675.25	\$ 51,675.25
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Tunnel Ventilation Stacks	13-Feb-2013	34%	\$ 546,350.25	\$ 185,026.77		\$ 185,026.77	\$ 185,026.77
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Transition Ductwork of Tunnel	19-Sep-2014	0%	\$ 207,297.74	\$ -			
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL								
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly) #1488	#M3M0018112	Mechanical	1-May-2013	71%	\$ 628,754.60	\$ 446,067.07		\$ 423,763.71	\$ 423,763.71
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly) #1533	#M3M0018112	Mechanical	1-Aug-2013	39%	\$ 4,192,586.96	\$ 1,643,501.83	\$ 54,017.74	\$ 1,084,882.67	\$ 1,084,882.67
JH KELLY - FISK ELECTRIC (Non-DBE sub)	JH KELLY - FISK ELECTRIC								
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0185A	23-Apr-2012	100%	\$ 4,374.53	\$ 4,374.53		\$ 4,374.53	\$ 2,624.72
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0444A	20-Apr-2013	87%	\$ 5,688,963.90	\$ 4,933,008.09	\$ 315,627.50	\$ 4,615,636.89	\$ 2,769,382.13
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0572A	10-Jul-2013	65%	\$ 5,021,920.34	\$ 3,256,190.23	\$ 87,106.88	\$ 3,176,755.48	\$ 1,906,053.29
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0720A	1-Jun-2013	100%	\$ 174.65	\$ 174.65		\$ 174.65	\$ 104.79
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0673A	1-May-2013	100%	\$ 249.61	\$ 249.61		\$ 249.61	\$ 149.77
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0680X	1-May-2013	100%	\$ 47.41	\$ 47.41		\$ 47.41	\$ 28.45

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Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0632A	1-Apr-2013	100%	\$ 2,760.56	\$ 2,760.56		\$ 2,760.56	\$ 1,656.34
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #29250	1-Oct-2013	100%	\$ 1,573.93	\$ 1,573.93		\$ 1,573.93	\$ 944.36
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #32814		100%	\$ 64,000.00	\$ 64,000.00		\$ 64,000.00	\$ 38,400.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #45436	13-Jan-2014	100%	\$ 608.40	\$ 608.40		\$ 608.40	\$ 365.04
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #7747	6-Aug-2013	100%	\$ 191.63	\$ 191.63		\$ 191.63	\$ 114.98
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #31840	1-Nov-2013	100%	\$ 1,445.40	\$ 1,445.40		\$ 1,445.40	\$ 867.24
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #118390	15-Sep-2014	100%	\$ 6,296.25	\$ 6,296.25		\$ 6,296.25	\$ 3,777.75
Transcon (LT to FISK, 3rd tier under JH Kelly)	#D4M1022071	Electrical supply and install	11-Feb-2013	100%	\$ 59,187.00	\$ 59,187.00		\$ 59,187.03	\$ 21,099.00
JH KELLY - SICE (Non-DBE sub)	JH KELLY - SICE ELECTRIC								
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	#D1M8522607	Electrical contractor	1-Jan-2014	100%	\$ 3,331.29	\$ 3,331.29		\$ 3,331.29	\$ -
MALCOLM (Non-DBE sub)	MALCOM								
1 Alliance Geomatics (LT to Malcolm)	#D4M0022928	Onsite Survey Assistance	14-May-2014	100%	\$ 397,730.00	\$ 397,730.00	\$ 82,568.00	\$ 397,730.00	\$ 397,730.00
BC Traffic (LT to Malcolm)	#D4M0011474	Traffic Control	12-May-2014	100%	\$ 341,479.38	\$ 341,479.38	\$ 6,406.35	\$ 313,323.33	\$ 313,323.33
BESTCCLS INC (LT to Malcolm)	#D3M9319663	Survey, as-build services	20-Oct-12	100%	\$ 27,202.50	\$ 27,202.50		\$ 25,748.91	\$ 25,748.91
Mar-Vac / Marine Vacuum Service Inc. (LT to Malcolm)	#M4M0002341	Vac-truck services	10-Apr-2012	100%	\$ 178,836.06	\$ 178,836.06		\$ 169,894.26	\$ 169,894.26
Transcon (LT to Malcolm)	#D4M1022071	Excavation	9-May-2014		\$ 431,642.23	\$ 431,642.23	\$ 29,772.00	\$ 382,781.00	\$ -
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)	MAYES TESTING ENGINEERS, INC.								
Pacific Geo Engineering (LT to Mayes)	#D4M9620410	Soil Compaction Testing Services	4-Jan-2013	100%	\$ 27,440.00	\$ 27,440.00		\$ 27,440.00	\$ 27,440.00
NCM (Non-DBE sub)	NCM								
OMA (LT to NCM)	#D4M2316494	Demo, Trucking Secants, Wall Openings and Cradle	25-Mar-2014	100%	\$ 154,702.50	\$ 154,702.50		\$ 154,702.50	
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	#M4M0002341	Vac-truck services	26-Jun-2014	100%	\$ 4,468.70	\$ 4,468.70		\$ 4,468.70	\$ 4,468.70
NORTHWEST ASPHALT	NW ASPHALT								
OMA (LT to Northwest Asphalt)	#D4M2316494	Trucking	26-Feb-2014	100%	\$ 57,236.25	\$ 57,236.25		\$ 54,374.43	
ProUSA (LT to Northwest Asphalt)	#D3M0022332	Trucking	24-Feb-2014	100%	\$ 11,576.41	\$ 11,576.41		\$ 11,576.41	
OTTO ROSENAU & ASSOCIATES, INC. (Non-DBE sub)	OTTO ROSENAU & ASSOCIATES, INC.								
AAR Testing Laboratories, Inc. (LT to Otto)	#D2F4708231	Outside Testing & Inspection	25-Oct-2011	100%	\$ 68,602.74	\$ 68,602.74	Closed	\$ 68,602.74	\$ 68,602.74
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)	PROFESSIONAL SERVICES INDUSTRY (PSI)								
Pacific Geo Engineering (LT to PSI)	#D4M9620410	Concrete testing and laboratory testing	4-Jan-2013	100%	\$ 14,693.00	\$ 18,451.00		\$ 18,451.00	\$ 18,451.00
SOLDATA - KPG (Non-DBE sub)	SOLDATA - KPG								
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	#M5M0019808	Settlement Monitoring	1-Apr-2012	69%	\$ 691,769.75	\$ 475,409.59		\$ 484,837.80	\$ -
OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	#D4M2316494	Settlement Point Installations	6-Sep-2012	100%	\$ 103,950.00	\$ 103,950.00		\$ 103,950.00	\$ 60,280.00
SOLDATA - HART CROWSER (Non-DBE sub)	SOLDATA - HART CROWSER								
Novito Construction Inc. (LT to Hart Crowser, 3rd tier under SolData)	#D2F6917467	Traffic control/ flagging	11-Jun-2012	100%	\$ 228,052.53	\$ 228,052.53		\$ 228,052.53	\$ 228,052.53

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
VECA (Non-DBE sub)	VECA								
Mobile Electrical Distributors Inc. (LT to VECA)	#D2F0017012	Electrical Supply		100%	\$ 9,803.15	\$ 9,803.15		\$ 9,803.15	\$ 5,881.89
					\$ 90,067,710.75	\$ 75,826,900.24	\$ 2,233,180.66	\$ 73,947,330.79	\$ 50,577,359.76

PLEASE ANSWER THE FOLLOWING QUESTIONS BY STATING EITHER "YES" OR "NO":

1) Have any of the DBE subcontractors been added or removed from the DBE monthly report period? If yes, fully explain the action.
Yes. Mobile Electrical Distrubtors lower tier to STP

2) Has the scope of work or the subcontract amount for any of the DBE subcontractors changed since the last report? If yes, fully explain the action.
Yes. Please see the attached summary report for commitments as of 11/30/14

3) Did any of the DBE subcontractors rent/lease equipment from the prime contractor or an affiliate company during the report period? If yes, explain the arrangement, including a description of the equipment and the cost.
No.

4) Did any of the DBE subcontractors utilize employees of former employees of the prime contractor or an affiliate company during the report period? If yes, fully explain the arrangement
No.

AUTHORIZED SIGNATURE: Chris Dixon
CHRIS DIXON

TITLE: Project Manager

DATE: 12/22/14

Commitments increased as of month ending November 30, 2014
* First time reported

Name of DBE Subcontractor * (LT = lower tier)	October 2014 Commitments	November 2014 Commitments	Increase
FIRST TIER			
DHD Trucking	\$ 1,085,192.50	\$ 1,099,817.50	\$ 14,625.00
Exeltech Consulting Inc	\$ 354,893.66	\$ 374,988.58	\$ 20,094.92
Garner Construction	\$ -	\$ 92,430.06	\$ 92,430.06
Global Geophysics	\$ 511,343.75	\$ 527,494.42	\$ 16,150.67
J. Keiser & Associates	\$ 40,221.20	\$ 60,421.20	\$ 20,200.00
MindSeekers, LLC	\$ 231,667.46	\$ 253,803.36	\$ 22,135.90
Overridge Security Group Inc	\$ 3,780,863.50	\$ 3,916,447.00	\$ 135,583.50
Osiris Enterprises LLC	\$ 231,512.50	\$ 242,341.50	\$ 10,829.00
Savage Logistics, LLC	\$ 623,549.50	\$ 645,807.50	\$ 22,258.00
Sundancer Electric Inc.	\$ 3,885,815.66	\$ 4,010,688.46	\$ 124,872.80
Highway Specialties LLC	\$ 230,806.79	\$ 231,270.47	\$ 463.68
ST Fabrication/ Jabez	\$ 2,325,362.11	\$ 2,348,631.11	\$ 23,269.00
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)			
Transcon (LT to FCCC)	\$ 201,995.69	\$ 203,495.69	\$ 1,500.00
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)			
Savage Logistics, LLC (LT to Lining Precast)	\$ 1,820,988.84	\$ 1,821,257.37	\$ 268.53
Savage Logistics, LLC (LT to Lining Precast)	\$ 11,866.48	\$ 11,956.18	\$ 89.70
HNTB (Non-DBE sub)			
CivilTech Engineering (LT to HNTB)	\$ 807,053.16	\$ 857,615.96	\$ 50,562.80
Integrated Design Engineers, LLC (LT to HNTB)	\$ 959,259.50	\$ 990,328.53	\$ 31,069.03
Wakerobin (LT to HNTB)	\$ 89,180.00	\$ 90,320.00	\$ 1,140.00
Holocene Drilling Inc (Non-DBE sub)			
Yonemitsu Geological Services	\$ 96,397.56	\$ 99,556.31	\$ 3,158.75
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)			
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	\$ 4,184,544.91	\$ 4,192,586.96	\$ 8,042.05
MALCOLM (Non-DBE sub)			
1 Alliance Geomatics (LT to Malcolm)	\$ 254,160.00	\$ 397,730.00	\$ 143,570.00
BC Traffic (LT to Malcolm)	\$ 367,924.00	\$ 341,479.38	\$ (26,444.62)
Transcon (LT Malcolm)	\$ -	\$ 431,642.23	\$ 431,642.23
NORTHWEST ASPHALT			
Prousa (LT to Northwest Asphalt)	\$ 11,756.41	\$ 11,576.41	\$ (180.00)
			\$ 1,147,331.00

ATTACHMENT # 2
DBE COMMITMENT VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#45 Invoiced to Date	#45 Commitment	#44 Commitment	#45 - #44 Commitment Change	REMARKS
FIRST TIER										
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	100%	\$ 1,099,817.50	\$ 1,099,817.50	\$ 1,085,192.50	\$ 14,625.00	Total of all Purchase Orders, Change Orders, and Contract for street sweeping in STP files = \$1,391,070.69
Exeltech Consulting Inc	PreConstruction Condition Survey	V	4-Aug-2011	Active	100%	\$ 374,988.58	\$ 374,988.58	\$ 354,893.66	\$ 20,094.92	Subcontract (#1979-01) CO 006 Total Revised Agreement Amount Not to Exceed = \$374,988.59 in binder
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 92,430.06	\$ 92,430.06	\$ -	\$ 92,430.06	Subcontract (#4993-01) CO 003 Total Revised Final Agreement Amount = \$305,811.38 in binder
Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	100%	\$ 527,494.42	\$ 527,494.42	\$ 511,343.75	\$ 16,150.67	Equals Invoiced to date total; CO's 005 & 006 with Total Revised Agreement Amount = \$589,898.55 in binder
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 60,421.20	\$ 60,421.20	\$ 40,221.20	\$ 20,200.00	Subconsultant Agreement (5050-01) CO 001 Total Revised Subcontract Amount (Not-to-Exceed) = \$100,000 in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 253,803.36	\$ 253,803.36	\$ 231,667.46	\$ 22,135.90	Equals Invoiced to date total; CO 001 Total Revised Final Agreement Amount = \$931,260.00
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 3,916,447.00	\$ 3,916,447.00	\$ 3,780,863.50	\$ 135,583.50	Equals Invoiced to date total; Purchase Order Agreement; Subcontract (#1977-01) CO 005 Total Revised Final Agreement Amount = \$3,948,375.28.
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 242,341.50	\$ 242,341.50	\$ 231,512.50	\$ 10,829.00	Equals Invoiced to date total; CO 008 (PO No 2399-DB10) Total Revised Final Agreement Amount = \$408,678.28 in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	100%	\$ 645,807.50	\$ 645,807.50	\$ 623,549.50	\$ 22,258.00	Equals Invoiced to date total; CO#002 Total Revised Final Agreement Amount = \$1,000,000
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	100%	\$ 4,010,688.46	\$ 4,010,688.46	\$ 3,885,815.66	\$ 124,872.80	Equals Invoiced to date total; CO 008 Total Revised Final Subcontract = \$4,538,343.92
VENDORS										
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 231,270.47	\$ 231,270.47	\$ 230,806.79	\$ 463.68	Equals Invoiced to date total; Total of Purchase Orders in STP Files = \$246,057.4
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		89%	\$ 2,090,163.24	\$ 2,348,631.11	\$ 2,325,362.11	\$ 23,269.00	Total of all Purchase Orders and Change Orders for structural steel supply in STP files = \$2,361,747.11
LOWER TIER										
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)										
Transcon (LT to FCCC)	Install electrical conduit	S	12-Jan-2012	1/4/2013	100%	\$ 203,495.69	\$ 203,495.69	\$ 201,995.69	\$ 1,500.00	Equals Invoiced to date total; Per FCCC letter dated 9/16/2014 (in binder), total Final Value of work = \$203,495.69
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)										
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 1,821,257.37	\$ 1,821,257.37	\$ 1,820,988.84	\$ 268.53	Equals Invoiced to date total; Purchase Order #797; total of Purchase Order Agreement in STP file = \$3,994,286.00
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	20-Nov-2013		100%	\$ 11,956.18	\$ 11,956.18	\$ 11,866.48	\$ 89.70	Equals Invoiced to date total; Purchase Order #1279; total of Purchase Order Agreement in STP file = \$351,135.60
HNTB (Non-DBE sub)										
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 857,615.96	\$ 857,615.96	\$ 807,053.16	\$ 50,562.80	Equals Invoiced to date total; New Total Not to exceed amount (Contract #46055) through Supplement No. 13 = \$536,873.50; New Total Not to exceed amount (Contract #51761) through Supplement No. 03 = \$416,300.00; Total Value = \$536,873.50 + \$416,300.00 = \$953,173.50 (NTE).
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	V	6-Jan-2012	Active	100%	\$ 990,328.53	\$ 990,328.53	\$ 959,259.50	\$ 31,069.03	Equals Invoiced to date total; Supplement No. 4 Revised Budget = \$998,854.00
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	100%	\$ 90,320.00	\$ 90,320.00	\$ 89,180.00	\$ 1,140.00	Supplemental Agreement No. 2, dated 9/1/2014, Not to Exceed Amount = \$99,200.00
Holocene Drilling Inc (Non-DBE sub)										
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 99,556.31	\$ 99,556.31	\$ 96,397.56	\$ 3,158.75	Subcontract Agreement (v_1.3, CO #003) Total Subcontract (Not to Exceed) = \$150,000.00
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)										
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	1-Aug-2013	4/1/2015	39%	\$ 1,643,501.83	\$ 4,192,586.96	\$ 4,184,544.91	\$ 8,042.05	CO 008 & 009 (Subcontract #1533) New Total Subcontract Amount = \$4,192,586.96
MALCOLM (Non-DBE sub)										
1 Alliance Geomatics (LT to Malcolm) (Added on #43)	Onsite Survey Assistance	S	14-May-2014		100%	\$ 397,730.00	\$ 397,730.00	\$ 254,160.00	\$ 143,570.00	Subcontract Agreement (No. 2) CO 2 Revised Contract Value = \$435,324.63
BC Traffic (LT to Malcolm) (Added on #43)	Traffic Control	S	12-May-2014		100%	\$ 341,479.38	\$ 341,479.38	\$ 367,924.00	\$ (26,444.62)	Subcontract Agreement (No. 4) - Agreement Estimated Total = \$367,924.00
Transcon (LT Malcom) (Added on #43)	Excavation	S	9-May-2014			\$ 431,642.23	\$ 431,642.23	\$ -	\$ 431,642.23	Subcontract Agreement (No. 3) - Time and Materials Agreement (in binder #43)
Northwest Asphalt										
*ProUSA (LT to Northwest Asphalt) (Added on #39)	Trucking		24-Feb-2014	5/23/2014	100%	\$ 11,576.41	\$ 11,576.41	\$ 11,756.41	\$ (180.00)	per STP Accounting, there was a typo on DBE Report #44, correct total Commitment should be \$11,576.41
						\$ 75,826,900.24	\$ 90,067,710.75	\$ 88,920,379.75	\$ 1,147,331.00	

ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#45 Invoiced to Date	#44 Invoiced to Date	#45 - #44 Invoiced (for Nov)	Invoices (for Nov 2014)	Verified work done prior to invoice date	REMARKS
FIRST TIER											
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	100%	\$ 1,099,817.50	\$ 1,085,192.50	\$ 14,625.00	Inv #'s 524, 529, 536, 533	Yes	Invoices in binder
Exeltech Consulting Inc	PreConstruction Condition Survey	V	4-Aug-2011	Active	100%	\$ 374,988.58	\$ 354,893.66	\$ 20,094.92	Inv #17	Yes	Invoice in binder
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 92,430.06	\$ -	\$ 92,430.06	Inv #'s 8235, 8253	Yes	Invoices in binder
Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	100%	\$ 527,494.42	\$ 511,343.75	\$ 16,150.67	Inv #'s 101083028A, 101083029A	Yes	Invoices in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 269,796.34	\$ 268,711.83	\$ 1,084.51	Inv #733	Yes	Invoice in binder
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 60,421.20	\$ 40,221.20	\$ 20,200.00	Inv #'s JK111765, JK111766	Yes	Invoice in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 253,803.36	\$ 231,667.46	\$ 22,135.90	Inv #'s 33000, 33010, 33011, 33005, 33009, 33006, 33017, 33016, 33019, 33018, 33020, 33027, 33026, 33025, 33024, 33023, 33032, 33031, 33033, 33034, 33035	Yes	Invoices in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 3,916,447.00	\$ 3,780,863.50	\$ 135,583.50	Inv #'s 1902, 1900, 1901, 1897	Yes	Invoices in binder
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	90%	\$ 16,685,839.56	\$ 16,105,693.39	\$ 580,146.17	Inv #APPS98-107	Yes	Invoices in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 242,341.50	\$ 231,512.50	\$ 10,829.00	Inv #2033	Yes	Invoice in binder
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	89%	\$ 693,355.60	\$ 679,456.77	\$ 13,898.83	Inv #3659-45	Yes	Invoice in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	100%	\$ 645,807.50	\$ 623,549.50	\$ 22,258.00	Inv #'s 45, 46	Yes	Invoices in binder
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	100%	\$ 4,010,688.46	\$ 3,885,815.66	\$ 124,872.80	Inv #'s 6118, 6120, 6130, 6150, 6154	Yes	Invoices in binder
VENDORS											
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 231,270.47	\$ 230,806.79	\$ 463.68	Inv #'s 1410480, 1411406	Yes	Invoices in binder
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		89%	\$ 2,090,163.24	\$ 2,010,682.99	\$ 79,480.25			
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)											
Transcon (LT to FCCC)	Install electrical conduit	S	12-Jan-2012	1/4/2013	100%	\$ 203,495.69	\$ 201,995.69	\$ 1,500.00			Per FCCC letter dated 9/16/2014 (in binder), total Final Value of work = \$203,495.69 (added back in a deduct \$1,500; per accounting note, this was for admin fees previously deducted)
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)											
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 1,821,257.37	\$ 1,820,988.84	\$ 268.53	Inv # 14-797-47	Yes	Invoice in binder
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	20-Nov-2013		100%	\$ 11,956.18	\$ 11,866.48	\$ 89.70	Inv # 14-1279-12	Yes	Includes Invoice for City of Seattle parking ticket; Per STP Accounting, this will not be claimed as DBE eligible dollars on DBE Amount (As Amended)
HNTB (Non-DBE sub)											
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 857,615.96	\$ 807,053.16	\$ 50,562.80	Inv #'s 41076, 41122, 41123, 41121	Yes	Invoices in binder
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	V	6-Jan-2012	Active	100%	\$ 990,328.53	\$ 959,259.50	\$ 31,069.03	Inv #'s 6672, 6687	Yes	Invoices in binder
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	100%	\$ 90,320.00	\$ 89,180.00	\$ 1,140.00	Inv #16202-1410	Yes	Invoice in binder
Holocene Drilling Inc (Non-DBE sub)											
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 99,556.31	\$ 96,397.56	\$ 3,158.75	Inv #'s 14-1924 Inv 4, 12-1723-05 Task 2	Yes	Invoices in binder
JH KELLY - DESERT MECHANICAL (Non-DBE sub)											
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	Underground Piping	S	1-Oct-2012	2/1/2015	48%	\$ 54,395.00	\$ 9,395.00	\$ 45,000.00	Inv #App 2	Yes	Invoice in binder
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)											
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	1-Aug-2013	4/1/2015	39%	\$ 1,643,501.83	\$ 1,175,993.61	\$ 467,508.22	Inv #2013-14-14	Yes	Invoice in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)											
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		87%	\$ 4,933,008.09	\$ 4,615,636.89	\$ 317,371.20	Inv #'s 55685, 55686, 55773, 55848, 55849, 56129, 56130, 56131	Yes	Invoices in binder

ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#45	#44	#45 - #44	Invoices	Verified work done	REMARKS
						Invoiced to Date	Invoiced to Date	Invoiced (for Nov)	(for Nov 2014)	prior to invoice date	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		65%	\$ 3,256,190.23	\$ 3,110,173.73	\$ 146,016.50	Inv #'s 56523, 56522, 56281, 56003, 56207	Yes	Invoices in binder
MALCOLM (Non-DBE sub)											
1 Alliance Geomatics (LT to Malcolm) (Added on #43)	Onsite Survey Assistance	S	14-May-2014		100%	\$ 397,730.00	\$ -	\$ 397,730.00	Inv #'s 111, 137, 153, 182, 169	Yes	Invoices in binder
BC Traffic (LT to Malcolm) (Added on #43)	Traffic Control	S	12-May-2014		100%	\$ 341,479.38	\$ -	\$ 341,479.38	Inv #'s 141025, 141036, 141046, 141051, 141065, 141065 (#5 Final)	Yes	Invoices in binder
Transcon (LT Malcom) (Added on #43)	Excavation	S	9-May-2014			\$ 431,642.23	\$ -	\$ 431,642.23	Inv Value 64,464.00, 108,912.00, 62124.00, 30,744.00, 24,641.00, 62,124.00, 18,036.00, 11,736.00, 25,347.00, 9,486.23, 14,028.00	Yes	Invoices in binder
Northwest Asphalt											
*ProUSA (LT to Northwest Asphalt) (Added on #39)	Trucking		24-Feb-2014	5/23/2014	100%	\$ 11,576.41	\$ 11,756.41	\$ (180.00)			per STP Accounting, there was a typo on DBE Report #44, correct total Invoiced to Date should be \$11,576.41
SOLDATA - KPG (Non-DBE sub)											
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	69%	\$ 475,409.59	\$ 459,284.53	\$ 16,125.06	Inv #'s 1063, 1062	Yes	Invoices in binder
						\$ 75,826,900.24	\$ 72,422,165.55	\$ 3,404,734.69			

ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#45 Total Payments this Report (Nov)	#45 Total Payments to Date	#44 Total Payments to Date	Compare Total Pymts to Date (#45 - #44)	Variance	REMARKS
FIRST TIER											
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	100%	\$ 25,515.00	\$ 1,085,192.50	\$ 1,067,012.50	\$ 18,180.00	\$ 7,335.00	Check #'s 8856, 8963, 9000 in binder
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		100%	\$ 57,362.00	\$ 895,570.00	\$ 838,208.00	\$ 57,362.00	\$ -	Check #8884 in binder
Exeltech Consulting Inc	PreConstruction Condition Survey	V	4-Aug-2011	Active	100%	\$ 37,437.33	\$ 374,988.85	\$ 337,551.25	\$ 37,437.60	\$ (0.27)	Check #8999 in binder
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 72,969.01	\$ 72,969.01	\$ -	\$ 72,969.01	\$ -	Check # 8865 in binder
Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	100%	\$ 16,150.67	\$ 527,494.42	\$ 511,343.75	\$ 16,150.67	\$ (0.00)	Check #8966 in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 887.01	\$ 268,711.83	\$ 267,824.82	\$ 887.01	\$ (0.00)	Check #8874 in binder
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 8,400.00	\$ 48,621.20	\$ 40,221.20	\$ 8,400.00	\$ -	Check #8885 in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 17,371.36	\$ 237,465.82	\$ 220,094.46	\$ 17,371.36	\$ -	Checks #'s 8835, 8908, 8984 in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 119,307.00	\$ 3,780,863.50	\$ 3,661,556.50	\$ 119,307.00	\$ -	Check #8873 in binder
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	90%	\$580,146.17	\$ 16,685,839.56	\$ 16,105,693.39	\$ 580,146.17	\$ -	Check #9001 in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 10,829.00	\$ 231,512.50	\$ 220,683.50	\$ 10,829.00	\$ -	Check #8804 in binder
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	89%	\$ 13,556.00	\$ 679,456.77	\$ 665,900.77	\$ 13,556.00	\$ -	Check #8867 in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	100%	\$ 24,349.50	\$ 623,549.50	\$ 599,200.00	\$ 24,349.50	\$ -	Check #'s 8859, 8967 in binder
Steellkorr	Furnish and install all structural steel	S	31-Oct-2013	Active	43%	\$ 415,782.23	\$ 3,122,069.39	\$ 2,706,287.16	\$ 415,782.23	\$ -	Check #8864 in binder
Sundancer Electric Inc.	Tunnel Electrical	S	17-Jan-2013	Active	100%	\$ 97,625.89	\$ 3,958,754.31	\$ 3,861,128.42	\$ 97,625.89	\$ (0.00)	Check #'s 8858, 8863, 8990, 9002 in binder
Washington State Trucking (Added on #42)	Haul and dispose of 130 tunnel segments	S	12-Aug-2014		100%	\$ 39,894.73	\$ 179,106.42	\$ 139,211.69	\$ 39,894.73	\$ -	Check #8860 in binder
VENDORS											
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 158.78	\$ 230,965.57	\$ 230,806.79	\$ 158.78	\$ 0.00	Check #8393 in binder
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		89%	\$ 47,688.15	\$ 2,090,163.24	\$ 2,010,682.99	\$ 79,480.25	\$ (31,792.10)	Check #8831 in binder
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 903.38	\$ 285,221.68	\$ 284,318.30	\$ 903.38	\$ (0.00)	Check #8894 in binder
FD THOMAS (Non-DBE sub)											
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	Waterproofing Material Supplier	R	4-Sep-2013		100%	\$ 39,521.53	\$ 912,918.60	\$ 873,397.07	\$ 39,521.53	\$ -	Check #128719 in binder
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)											
Transcon (LT to FCCC)	Install electrical conduit	S	12-Jan-2012	1/4/2013	100%		\$ 203,495.69	\$ 201,995.69	\$ 1,500.00	\$ (1,500.00)	Per FCCC letter dated 9/16/2014 (in binder), total Final Value of work = \$203,495.69 (added back in a deduct \$1,500; per accounting note, this was for admin fees previously deducted)
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)											
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$268.53	\$ 1,821,257.37	\$ 1,820,988.84	\$ 268.53	\$ (0.00)	copy of WIRE Transfer #903711170302663 in binder
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer rental (PO 1279)	V	20-Nov-2013		100%	\$ 89.70	\$ 11,956.18	\$ 11,866.48	\$ 89.70	\$ (0.00)	copy of WIRE Transfer #903711170302663 in binder
HNTB (Non-DBE sub)											
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 4,244.12	\$ 774,490.14	\$ 770,246.02	\$ 4,244.12	\$ -	Check # 354663 in binder
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	V	6-Jan-2012	Active	100%	\$ 12,688.20	\$ 961,350.18	\$ 948,661.98	\$ 12,688.20	\$ (0.00)	Check #354670 in binder
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	100%	\$ 3,516.90	\$ 81,910.90	\$ 78,394.00	\$ 3,516.90	\$ 0.00	Check #354685 in binder
JH KELLY (Non-DBE sub)											
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	49%	\$11,020.00	\$ 224,781.88	\$ 213,761.88	\$ 11,020.00	\$ -	Check #131864 in binder
JH KELLY - DESERT MECHANICAL (Non-DBE sub)											
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	Underground Piping	S	1-Oct-2012	2/1/2015	48%		\$ 51,675.25	\$ 8,925.25	\$ 42,750.00	\$ (42,750.00)	Check #376684 in binder (dated 8/7/2013)
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)											
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	1-Aug-2013	4/1/2015	39%	\$ 54,017.74	\$ 1,084,882.67	\$ 1,030,864.93	\$ 54,017.74	\$ 0.00	Check #40942 in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)											
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		87%	\$ 315,627.50	\$ 4,615,636.89	\$ 4,300,009.39	\$ 315,627.50	\$ -	Check #'s 32920, 33129 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		65%	\$ 87,106.88	\$ 3,176,755.48	\$ 3,089,648.60	\$ 87,106.88	\$ 0.00	Check # 32920 in binder
MALCOLM (Non-DBE sub)											
1 Alliance Geomatics (LT to Malcolm) (Added on #43)	Onsite Survey Assistance	S	14-May-2014		100%	\$ 82,568.00	\$ 397,730.00	\$ -	\$ 397,730.00	\$ (315,162.00)	Check #'s 167170, 168457, 170268, 172020 in binder
BC Traffic (LT to Malcolm) (Added on #43)	Traffic Control	S	12-May-2014		100%	\$ 6,406.35	\$ 313,323.33	\$ -	\$ 313,323.33	\$ (306,916.98)	Check #'s 167377, 168458, 169987, 170878, 172022 in binder
Transcon (LT Malcom) (Added on #43)	Excavation	S	9-May-2014			\$ 29,772.00	\$ 382,781.00	\$ -	\$ 382,781.00	\$ (353,009.00)	Check #'s 168064, 168453, 169961, 170864, 170924, 172055, 172082 in binder
Northwest Asphalt											
*OMA (LT to Northwest Asphalt) (Added on #39)	Trucking		26-Feb-2014		100%		\$ 54,374.43	\$ 17,723.44	\$ 36,650.99	\$ (36,650.99)	Check #'s 29865, 29726 in binder (dated 8/11/2014, 7/11/2014)
SOLDATA - KPG (Non-DBE sub)											
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	69%		\$ 484,837.80	\$ 468,712.74	\$ 16,125.06	\$ (16,125.06)	Check #3714 in binder (dated 9/8/2014)
						\$ 2,233,180.66	\$ 73,947,330.79	\$ 70,617,578.73	\$ 3,329,752.06	\$ (1,096,571.40)	

ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#45 Commitment	#45 Invoiced to Date	#45 Total Payments this Report (Nov)	#45 Total Payments to Date	#45 DBE Amount (as Amended)	#44 DBE Amount (as Amended)	#45 - #44 DBE Amount (as Amended)	Pymt to Date (X)(X) <=#45 DBE Amt	REMARKS
FIRST TIER														
DHD Trucking	Street Sweeping	S	10-Jan-12	Active	100%	\$ 1,099,817.50	\$ 1,099,817.50	\$ 25,515.00	\$ 1,085,192.50	\$ 1,085,192.50	\$ 1,067,012.50	\$ 18,180.00	Yes	
EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		100%	\$ 895,570.00	\$ 895,570.00	\$ 57,362.00	\$ 895,570.00	\$ 860,430.00	\$ 803,068.00	\$ 57,362.00	Yes	
Exeltech Consulting Inc	PreConstruction Condition Survey	V	4-Aug-2011	Active	100%	\$ 374,988.58	\$ 374,988.58	\$ 37,437.33	\$ 374,988.85	\$ 374,988.85	\$ 337,551.25	\$ 37,437.60	Yes	
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 92,430.06	\$ 92,430.06	\$ 72,969.01	\$ 72,969.01	\$ 72,969.01	\$ -	\$ 72,969.01	Yes	
Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	100%	\$ 527,494.42	\$ 527,494.42	\$ 16,150.67	\$ 527,494.42	\$ 527,494.42	\$ 511,343.75	\$ 16,150.67	Yes	
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 268,711.83	\$ 269,796.34	\$ 887.01	\$ 268,711.83	\$ 144,041.98	\$ 143,330.97	\$ 711.01	Yes	
On-Site Environmental Inc. (LT to Greylock)	Environmental Analytical Testing	V	14-Dec-2011	Active	100%					\$ 69,651.75	\$ 69,475.75	\$ 176.00	Yes	
J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 60,421.20	\$ 60,421.20	\$ 8,400.00	\$ 48,621.20	\$ 17,350.00	\$ -	\$ 17,350.00	Yes	
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	100%	\$ 253,803.36	\$ 253,803.36	\$ 17,371.36	\$ 237,465.82	\$ 237,465.82	\$ 220,094.46	\$ 17,371.36	Yes	
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 3,916,447.00	\$ 3,916,447.00	\$ 119,307.00	\$ 3,780,863.50	\$ 3,767,369.50	\$ 3,648,062.50	\$ 119,307.00	Yes	
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 242,341.50	\$ 242,341.50	\$ 10,829.00	\$ 231,512.50	\$ 125,695.96	\$ 119,739.96	\$ 5,956.00	Yes	
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active	100%					\$ 100,943.54	\$ 96,070.54	\$ 4,873.00	Yes	
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	89%	\$ 779,490.00	\$ 693,355.60	\$ 13,556.00	\$ 679,456.77	\$ 673,345.53	\$ 660,088.84	\$ 13,256.69	Yes	
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	100%	\$ 645,807.50	\$ 645,807.50	\$ 24,349.50	\$ 623,549.50	\$ 623,549.50	\$ 599,200.00	\$ 24,349.50	Yes	
VENDORS												\$ -		
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	100%	\$ 231,270.47	\$ 231,270.47	\$ 158.78	\$ 230,965.57	\$ 138,579.34	\$ 138,484.07	\$ 95.27	Yes	
ST Fabrication/ Jabez	Fabrication & Supply	M & R	NA		89%	\$ 2,348,631.11	\$ 2,090,163.24	\$ 47,688.15	\$ 2,090,163.24	\$ 1,636,058.37	\$ 1,557,258.22	\$ 78,800.15	Yes	
The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 285,221.68	\$ 285,221.68	\$ 903.38	\$ 285,221.68	\$ 171,133.01	\$ 170,590.98	\$ 542.03	Yes	
LOWER TIER												\$ -		
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)												\$ -		
Transcon (LT to FCCC)	Install electrical conduit	S	12-Jan-2012	1/4/2013	100%	\$ 203,495.69	\$ 203,495.69		\$ 203,495.69	\$ 203,495.69	\$ 201,995.69	\$ 1,500.00	Yes	
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)												\$ -		
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 1,821,257.37	\$ 1,821,257.37	\$ 268.53	\$ 1,821,257.37	\$ 1,821,257.37	\$ 1,820,988.84	\$ 268.53	Yes	
HNTB (Non-DBE sub)												\$ -		
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 857,615.96	\$ 857,615.96	\$ 4,244.12	\$ 774,490.14	\$ 774,490.14	\$ 770,246.02	\$ 4,244.12	Yes	
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	V	6-Jan-2012	Active	100%	\$ 990,328.53	\$ 990,328.53	\$ 12,688.20	\$ 961,350.18	\$ 961,350.18	\$ 948,661.98	\$ 12,688.20	Yes	
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	100%	\$ 90,320.00	\$ 90,320.00	\$ 3,516.90	\$ 81,910.90	\$ 81,910.90	\$ 78,394.00	\$ 3,516.90	Yes	
JH KELLY (Non-DBE sub)														
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	49%	\$ 550,000.00	\$ 267,862.50	\$ 11,020.00	\$ 224,781.88	\$ 182,156.88	\$ 168,873.89	\$ 13,282.99	Yes	
JH KELLY - DESERT MECHANICAL (Non-DBE sub)														
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	Underground Piping	S	1-Oct-2012	2/1/2015	48%	\$ 112,640.00	\$ 54,395.00		\$ 51,675.25	\$ 51,675.25	\$ 8,925.25	\$ 42,750.00	Yes	
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)														
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical (Agreement #2 - 2783-1533)	S	1-Aug-2013	4/1/2015	39%	\$ 4,192,586.96	\$ 1,643,501.83	\$ 54,017.74	\$ 1,084,882.67	\$ 1,084,882.67	\$ 1,030,864.93	\$ 54,017.74	Yes	
JH KELLY - FISK ELECTRIC (Non-DBE sub)														
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		87%	\$ 5,688,963.90	\$ 4,933,008.09	\$ 315,627.50	\$ 4,615,636.89	\$ 2,769,382.13	\$ 2,580,005.63	\$ 189,376.50	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		65%	\$ 5,021,920.34	\$ 3,256,190.23	\$ 87,106.88	\$ 3,176,755.48	\$ 1,906,053.29	\$ 1,853,789.16	\$ 52,264.13	Yes	
MALCOLM (Non-DBE sub)												\$ -		
1 Alliance Geomatics (LT to Malcolm) (Added on #43)	Onsite Survey Assistance	S	14-May-2014		100%	\$ 397,730.00	\$ 397,730.00	\$ 82,568.00	\$ 397,730.00	\$ 397,730.00	\$ -	\$ 397,730.00	Yes	
BC Traffic (LT to Malcolm) (Added on #43)	Traffic Control	S	12-May-2014		100%	\$ 341,479.38	\$ 341,479.38	\$ 6,406.35	\$ 313,323.33	\$ 313,323.33	\$ -	\$ 313,323.33	Yes	
						\$ 90,067,710.75	\$ 75,826,900.24	\$ 2,233,180.66	\$ 73,947,330.79	\$ 50,577,359.76	\$ 49,007,510.04	\$ 1,569,849.72		



EXHIBIT A

January 9, 2015

TO: Bobby Forch, DBE Administrator AWV *BTF*

FROM: Dawn McIntosh, P.E. *DME*

SUBJECT: Validation STP Monthly DBE Progress Report No. 45

Review of STP Monthly DBE Progress Report No. 45 (Report No. 45) began 12/29/2014 and was completed on 1/8/2015 (See Attachment 1).

- DBE Contractual Requirement (Change Order 91)= \$96,000,000
- DBE 8% Goal= \$91,176,000
- Commitments= \$90,067,710.75
- Total Payments= \$73,947,330.79
- DBE Participation= \$50,577,359.76

STP reported 93 subcontractors with 152 subcontracts. Comparing Report No. 45 to Report No. 44 resulted in changes as follows:

- 24 Commitment changes totaling \$1,147,331.00 increase (Attachment 2)
- 31 Invoiced to Date changes totaling \$3,404,734.69 increase (Attachment 3)
- 36 Payments to Date changes totaling \$3,329,752.06 increase (Attachment 4)
- 29 DBE Amount (as Amended) changes totaling \$1,569,849.72 increase (Attachment 5)

The validation process focused on review of those subcontracts that resulted in the changes noted above. The DBE Validation Binders for Monthly DBE Report No. 45 (November 2014) were used to verify the information. However, when information was limited in the binders, records were validated using subcontractor files located in the STP office.

Commitment comparisons resulted in favorable remarks as reported by STP and logged on Attachment 2. All Commitments were validated, as shown on Attachment 2.

Invoiced to Date comparisons resulted in favorable remarks as reported by STP and logged on Attachment 3, with the exception of an invoice for a City of Seattle parking ticket; per STP, this will not be claimed as DBE eligible dollars on the DBE Amount (As Amended). All other Invoices were validated, as shown on Attachment 3.

Payments for subcontracts reported by STP resulted in favorable remarks. All payments were validated, as shown on Attachment 4.

DBE Amount (as Amended) comparisons all resulted in favorable remarks as reported by STP and logged on Attachment 5. All payments to date were less than DBE Amount (as Amended) and all DBE Amounts (as Amended) were validated.

- It should be noted that the DBE Amount (As Amended) being claimed by STP for work performed by OMA Trucking (including lower tiers) has been verified by WSDOT.
- This increase in participation is for work that has been invoiced by and paid to OMA and previously counted toward DBE participation.
- Concurrence has been received from the AWW Office, HQ OEO and FHWA for approving the \$8.6 million in DBE participation claimed for OMA under DBE Progress Reports #40, #41, #42 and #45.

No new DBE subcontractors were added under this Report. Currently, 93 DBE firms have received subcontracts under this project.

The following existing subcontractor received new work under this report:

- Mobile Electrical Distributors

Conclusion:

STP's Report No. 45 is acceptable. Additional work will be required to verify the DBE claimed participation for OMA Trucking once agreement is reached between the AWW Office, HQ OEO, and FHWA regarding the verification process.

The STP Binder for Report No. 45 was helpful for validating the invoiced and payment information as well as a good portion of the commitments. However, in order to verify several commitment amounts it was necessary to review the STP files in order to complete validation documents.

Attachments:

1. STP DBE Progress Report No. 45
2. DBE Commitment Validation
3. DBE Invoiced to Date Validation
4. DBE Total Payments Validation
5. DBE Amount (as amended) Validation

cc: Paul Johnson, P.E.

Exhibit B: SR 99 Tunnel Design Build Contract- DBE Certified Payroll Hours Verification, November 2014

Contractor/Subcontractor	Prime Contract/Upper Tier	Number of Journeyman	Number of Apprentices	Craft/Trade	Journeyman Hours Worked	Apprentice Hours Worked	Total Hours Worked	Totals from Certified Payroll	Month Year	Comments
DHD Trucking	STP	2	0	Street Sweeper	136.5	0	136.5	144.5	14-Nov	Need CP for 11/30/2014.
Garner Construction	STP	6	1	Operator	1006.5	64	1070.5	1068.5	14-Nov	
JP Francis	Shinn2/DMI/JHK	2	0	Plumber	414	0	414	380	14-Nov	
Mak's Dump Trucking	OMA	1	0	Truck Driver (Owner Operator)	71	0	71	63	14-Nov	Need CP for 11/30/2014.
Marshbank	STP	5	0	Laborer	240	0	240	176.5	14-Nov	
Marshbank	STP	13	0	Operator	262	0	262	234	14-Nov	
OMA - Job 1133 South Portal	STP	16	0	Truck Driver	1516.5	0	1516.5	1278.5	14-Nov	
Ponglia Trucking	OMA	1	0	Truck Driver (Owner Operator)	58.5	0	58.5	34.5	14-Nov	Need CP for 11/30/2014.
Savage Logistics	STP	1	0	Truck Driver	145.5	0	145.5	154.5	14-Nov	
SSB Trucking	OMA	1	0	Truck Driver (Owner Operator)	15	0	15	0	14-Nov	Need CP for 11/29-11/30/2014.
Sundancer Electric	STP	6	1	Electrician	846	98	944	942	14-Nov	
Sundancer Electric	STP	1	0	Electrical Tech	8	0	8	4	14-Nov	
Tan Trucking	OMA	1	0	Truck Driver (Owner Operator)	92	0	92	68	14-Nov	
Trinity Development	OMA	1	0	Truck Driver (Owner Operator)	59.25	0	59.25	33.75	14-Nov	Need CP for 11/30/2014.
Neppel Electric	STP	3	0	Electrician	32	0	32	0	14-Nov	Need CP for 11/30/2014.
United Professional Caulking	STP	1	1	Carpenter	76	53.5	129.5	143.5	14-Nov	
United Professional Caulking	STP	1	1	Laborer	53.5	50.5	104	152	14-Nov	
							0			
Total		62	4		5032.25	266	5298.25	4877.25		

EXHIBIT C: LIST OF DBE SUBCONTRACTS

SR 99 Bored Tunnel Alternative DB								
STP Fed Employer I.D. #27-3509698								
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineerin g R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
S	603260116	1 Alliance Geomatics	5/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	
V	602288380	3D Infusion	4/23/2012	6/11/2012	6/8/2012	1/14/2013	HNTB	
V	601202429	AAR Testing Laboratories Inc.	12/1/2012	2/1/2013	3/1/2013	None	STP	
V	601202429	AAR Testing Laboratories Inc.	4/1/2012	10/31/2012	11/1/2012	None	FD Thomas	
V	601202429	AAR Testing Laboratories Inc.	10/25/2011	2/28/2013	2/28/2013	None	Otto Rosneau & Assoc.	
S	601997791	Advanced Underground Utilities	10/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601443349	Adept Mechanical	9/1/2012	1/30/2013	NA	None	ST Fabrication	
S	600089108	Amaya Electric (CCIS-see Marles Corp)	8/2/2013	10/8/2013	2/3/2014	3/14/2014	STP	Accounting received final release 2/3/14
S	602178781	BC Traffic	5/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	
S	601554695	Bear Wood Windows	Pending	N/A	N/A	N/A	STP	Work won't start until 2015
V	601314208	Berona Engineers Inc	4/5/2012	11/28/2012	11/16/2012	1/14/2013	HNTB	
S	602978139	BEST CCLS Inc	1/10/2012	12/31/2012	10/9/2013	10/16/2013	STP	Final payment docs recv'd from sub on 9/20/13, Final EEO documents received 10/9/13
S	602978139	BEST CCLS Inc	3/26/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	
R	601361936	Birch Equipment Rental and Sales	2/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601512071	Bolima Drafting & Design Inc	8/18/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	602408510	C & E Transport	10/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602408510	C & E Transport	4/25/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602919268	C2PM, Inc.	9/1/2011	N/I	N/I	None	STP	No Retention Held
R	600237496	Cascade Concrete Products					FD Thomas	
V	602365682	Casseday Consulting Inc.	7/29/2011	12/30/2011	12/30/2011	1/14/2013	HNTB	
V	601663491	Catherine Bassetti	3/5/2012	N/A - Firm is still active	Active	None	STP	
V	602542891	Chudgar Engineering Company	9/20/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
V	602384747	Civil Tech Engineering Inc	7/31/2012	N/A - Firm is still active	Active	None	STP	
V	602384747	Civil Tech Engineering Inc	9/1/2011	8/14/2013	8/14/2013	None	FCCC	
V	602399218	Convergent Pacific LLC	10/28/2011	9/27/2013	9/24/2013	1/14/2013	HNTB	
V	601518940	D K A Architecture	8/5/2011	9/6/2012	9/6/2012	1/14/2013	HNTB	
S	602953513	DBE Electric, Inc.	2/27/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602953513	DBE Electric, Inc.	11/28/2011	4/25/2012	9/12/2012	10/12/2012	FCCC	
S	602677969	DHD Trucking LLC	2/1/2012	N/A - Firm is still active	Active	None	STP	
S	602258601	Duncan Asphalt	5/13/2014	5/15/2014			STP	Retainage has not been released
V	602739282	Earth Mechanics, Inc.	5/1/2011	4/6/2012	4/2/2012	1/14/2013	HNTB	
S	601441115	Emerald City Weatherproofers	11/18/2012	1/3/2013	NA	None	ST Fabrication	
V	602576083	EMT LLC (environmental training)	1/3/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602067564	EPC Consultants Inc	7/3/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	601781913	Excel Supply Co	5/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601266522	Exeltech Consulting, Inc	8/4/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	578021472	Fryer-Knowles Inc	11/22/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Flooring in operation buildings. Work start 9/2014
S	601404405	Garner Construction Inc	9/8/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601363240	Gibson Door & Millwork	10/15/2012	1/25/2013	NA	None	ST Fabrication	
S	603081735	Global Concrete Cutting Inc	6/19/2012	8/18/2012	11/7/2013	see notes	Leajak	pending resolution of litigation
S	603081735	Global Concrete Cutting Inc	9/30/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602504657	Global Geophysics	5/21/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602504657	Global Geophysics	5/1/2011	6/21/2011	NA	None	Hart Crowser Inc	Hart Crowser stated that Global Geophysics is a professional service provider and do not provide certified payrolls
S	602748267	Grady Excavating Inc.	11/29/2011	6/30/2013	7/8/2013	7/12/2013	FCCC	
S	602748267	Grady Excavating Inc.	3/1/2012	9/18/2013	9/24/2013	9/30/2013	STP	
V	602560706	Greylock Consulting LLC	10/1/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	602556355	Highway Specialties	8/26/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602461020	Integrated Design Engineering	1/6/2012	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	601322160	J. Harper Contractors Inc.	11/7/2011	3/30/2012	6/1/2012	6/26/2012	STP	
V	601587500	J. Keiser & Associates	4/30/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	

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SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineerin g R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
S	602101301	JMR Trucking Inc	10/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	601919250	JP Francis & Assoc	7/17/2013	4/9/2014	Active	N/A - Firm is still active	STP	
S	601919250	JP Francis & Assoc - 1488	3/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical	
S	601919250	JP Francis & Assoc - 1533	9/5/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical	
S	601919250	JP Francis & Assoc (plumbing)		N/A - Firm is still active	NA	None	BASF	9/30/14 - pending firm's response
V	601426427	KBA	12/5/2012	N/A - Firm is still active	Active	None	STP	
S	602460885	Kiawa Trucking	6/29/2012	7/4/2012	9/26/2013	see notes	Leajak	pending resolution of litigation
S	601573914	Leajak Concrete Construction Inc	7/1/2012	9/22/2012	10/10/2013	see notes	Lorache CAD/IT Services	pending resolution of litigation
S	601573914	Leajak Concrete Construction Inc	6/23/2012	10/29/2012			STP	*Pending sub docs
S	602568958	Lorache CAD-IT Services LLC	6/18/2012				STP	*Pending sub docs
S	602210303	Magnum Crane LLC	12/17/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602671980	Mak's Trucking LLC	11/14/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	600412278	Marine Vacuum Service Inc (Mar-Vac) - Tank Removal	10/24/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	4/10/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	1/7/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group	
S	601649423	Marshbank Const.	8/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602037833	Mindseekers	2/27/2013	N/A - Firm is still active	Active	None	STP	
R	578062075	Mobile Electric Distributors Inc. - 0185	4/1/2012	N/A - Firm is still active	4/1/2012	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0444	4/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0572	6/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0632	4/1/2013	N/A - Firm is still active	4/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0673	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0680	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0720	6/1/2013	N/A - Firm is still active	6/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 118390	9/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 29250	10/1/2013	N/A - Firm is still active	10/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 31840	11/1/2013	N/A - Firm is still active	12/11/2013	None	Fisk	Last payment received on 12/11/13 - this PO was a double payment/issue and was reimbursed under PO 444 Inv. #184
R	578062075	Mobile Electric Distributors Inc. - 32814	6/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 45436	1/1/2014	N/A - Firm is still active	1/1/2014	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 7747	8/6/2013	N/A - Firm is still active	12/24/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc.					VECA	
R	578062075	Mobile Electric Distributors Inc.					STP	
S	602120171	Neppel Electric	9/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602120171	Neppel Electric	3/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
V	603140359	Nolan Morrison Consulting	9/19/2011	N/I	N/I	None	STP	No Retention Held
S	601881866	Novito Construction Inc	4/1/2012	10/16/2013	12/23/2013	None	Hart Crowser Inc	
V	601643525	NVL Labs	11/10/2011	N/A - Firm is still active	Active	None	AMEC	Firm was decertified 4/25/14
S	601872128	OMA Construction	7/29/2013	11/15/2013	9/1/2014	9/10/2014	KPG Inc	8/2014 - KPG will likely close out OMA's contract in the next month or two as it doesn't look like they will need them for any more installations. This will be confirmed with Phil Smith. 50% of retainage will be released when KPG receives retainage from Soldata at end of tunneling and the other 50% as per the prime agreement.
S	601872128	OMA Construction - Drainage (#1130)	10/1/2012	N/A - Firm is still active	Active	None	Desert Plumbing & Heating Co Inc	
S	601872128	OMA Construction - Rubble	2/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group	
S	601872128	OMA Construction - Trucking_North Portal	10/1/2012	N/A - Firm is still active	Active	None	STP	
S	601872128	OMA Construction - Trucking_South Portal	10/1/2012	N/A - Firm is still active	Active	None	STP	
S	601872128	OMA Construction - Utility Deposition	2/1/2013	N/A - Firm is still active	Active	None	STP	
S	602316638	Oatridge Security Group	10/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601872128	OMA Construction	3/1/2016	N/A - Firm is still active	Active	N/A - Firm is still active	NW Asphalt	
V	602896811	O'Neill Environmental Services LLC	2/13/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	

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V	601378055	On-Site Environmental Inc	12/14/2011	N/A - Firm is still active	Active	N/A - Firm is still active	Greylock	
V	602446858	Osborn Consulting Incorporated	5/1/2011	11/1/2012	11/1/2012	1/14/2013	HNTB	
V	602446858	Osborn Pacific Group	3/5/2012	8/6/2012	8/6/2012	1/14/2013	HNTB	
V	602884742	Osiris Enterprises LLC	1/12/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602326408	Pacific Geo Engineering (PGE)					Professional Services Industry (PSI)	
V	602326408	Pacific Geo Engineering (PGE)	1/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Mayes Testing	
S	602492304	Ponglia Trucking Inc	11/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	603140884	Pro USA LLC	7/23/2012	10/5/2012	10/18/2012	None	Grady	
S	603140884	Pro USA LLC	11/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	HOS	
S	603140884	Pro USA LLC	5/19/2014	8/30/2014	7/1/2014	None	Marshbank	
S	603140884	Pro USA LLC	1/14/2014	3/11/2014	3/11/2014	5/23/2014	NW Asphalt	
V	600428960	PRR Inc.	2/22/2011	N/A - Firm is still active	Active	None	STP	
S	602643779	Puget Sound Utilities	7/1/2013	8/18/2013	2/3/2014	None	OMA	Decertified 4/1/14
R	600410718	Quality Discount Supplies & Services	10/19/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	600481702	Rebar International	4/10/2012	2/21/2013	4/16/2013	3/15/2013	FCCC	
S	600481702	Rebar International	8/22/2013	10/5/2013	10/13/2013	None	Harris Rebar	
V	602843104	Rose Super Clean & Park Management	4/6/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Osiris	
V	602628354	SAEZ Consulting	5/1/2011	N/A - Firm is still active	Active	1/14/13 & 3/12/14	HNTB	
V	602628354	SAEZ Consulting	4/30/2012	N/A - Firm is still active	Active	N/A - Firm is still active	KPG Inc	
V	602628354	SAEZ Consulting	8/31/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602682121	Savage Logistics LLC	6/17/2013	N/A - Firm is still active	Active	N/A - Firm is still active	KTI	
S	602682121	Savage Logistics LLC	2/15/2013	N/A - Firm is still active	Active	None	STP	
S	602682121	Savage Logistics LLC - PO #1279	11/20/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #1335	12/5/2013	N/A - Firm is still active	1/31/2014	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #778	6/25/2013	N/A - Firm is still active	9/7/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #797	7/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #804	6/7/2013	N/A - Firm is still active	7/27/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
V	602378706	Scheduling and Information Services Inc	4/8/2013	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelley	
V	602450813	Schemata Workshop	9/8/2014	N/A - Firm is still active	Active	Active	HNTB	Firm is active again per HNTB email 10/11/14
V	602450813	Schemata Workshop	4/16/2012	7/9/2013	11/29/2012	1/14/2013	HNTB	
S	601735583	Sea-Tac Sweeping Service	11/29/2011	N/A - Firm is still active	1/24/2012	None	STP	
S	602651177	SSB Trucking & Construction	3/6/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602216144	ST Fabrication - Crane Maint.	6/5/2012	2/28/2014	2/28/2014	9/5/2014	STP	
R/M	602216144	ST Fabrication - Purchase Orders					STP	
M	602216144	ST Fabrication - Reefer Racks	5/30/2012	2/28/2014	2/28/2014	9/5/2014	STP	\$10K held until documents are reviewed and corrected
S	603064398	Steelkorr	4/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	454778504	Sundancer Electric	5/13/2014	7/15/2014	NA	None	Marshbank	
S	454778504	Sundancer Electric	2/6/2013	N/A - Firm is still active	Active	None	STP	
S	454778504	Sundancer Electric	1/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
S	602785735	Sunset Welding	7/5/2012	8/27/2012	1/15/2013	see notes	Leajak	pending resolution of litigation
S	602671547	Tan Trucking	11/28/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
R	601734719	The Bag Lady Inc	11/11/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	603056508	Transcon Company LLC	1/9/2012	12/31/2012	1/4/2013	3/15/2013	FCCC	
S	603056507	Transcon Company LLC	12/1/2011	N/A - Firm is still active	2/11/2013	None	Fisk	
S	603056508	Transcon Company LLC	5/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	
S	603056507	Transcon Company LLC	12/9/2013	N/A - Firm is still active	Active	None	STP	
S	602081190	Trinity Development Corp (TLC)	10/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
V	601767647	TripleNet Technologies Inc	1/9/2012	N/I	N/I	None	STP	No Retention Held
S	601443883	United Professional Caulking & Restoration Inc (Unipro)	11/3/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602621504	Wakerobin LLC	9/26/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	601398735	Washington State Trucking	8/12/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	

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M	602240125	Wayron LLC #116168					Desert Plumbing & Heating Co Inc	
M	602240125	Wayron LLC #12-09-1000-009	2/13/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc	
M	602240125	Wayron LLC	1/9/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	600279746	West Coast Printing	4/5/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Firm closed business 10/2014
V	601609012	Yonemitsu Geological Services	7/8/2011	9/12/2011	8/25/2011	1/14/2013	HNTB	
V	601609011	Yonemitsu Geological Services	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling	



Washington State
Department of Transportation

OPPORTUNITY FORUMS:

DOING BUSINESS WITH THE WASHINGTON STATE DEPARTMENT OF TRANSPORTATION

WHAT?

The Washington State Department of Transportation is holding "Opportunity Forums" to share information about transportation contracting prospects. At these forums, you will also have an opportunity to network with WSDOT project staff, other purchasing personnel, and prime contractors. Come learn more about participating on state and federally funded transportation projects, subcontracting and vendor opportunities.

Topics include:

- WSDOT's planned construction projects including subcontractable scopes of work
- Disadvantaged Business Enterprise Program –
 - ✓ Office of Minority & Women's Business Enterprise
 - ✓ WSDOT Office of Equal Opportunity

WHO SHOULD ATTEND?

Anyone interested in business opportunities with WSDOT:

- Disadvantaged, minority, women-owned and small-businesses
 - ✓ DBE-certified and non-certified businesses
 - ✓ Tribal business owners
- Trade organizations and local government agencies
- Prime contractors and consultants

WHERE?

5 – 7:30 p.m., Tuesday, Jan. 27

WSDOT Maintenance Facility,
conference room,
11211 41st Ave. SW, Lakewood

5 – 7:30 p.m., Wednesday, Jan. 28

WSDOT Southwest Region Headquarters,
cafeteria
11018 NE 51st Circle, Vancouver

5 – 8:00 p.m., Thursday, Jan. 29

WSDOT Northwest Region Headquarters,
cafeteria
15700 Dayton Ave N, Seattle

5 – 7:30 p.m., Tuesday, Feb. 3

WSDOT Maintenance Office
3920 Airport Way, Bellingham

5 – 7:30 p.m., Wednesday, Feb. 4

WSDOT South Central Region, boardroom
2809 Rudkin Road, Union Gap

5 – 7:30 p.m., Thursday, Feb. 5

WSDOT Eastern Region Headquarters
2714 N. Mayfair St., Spokane

If you have any questions, please contact Jackie Bayne at 360.705.7084



PLEASE RSVP BY EMAILING **DBESS@WSDOT.WA.GOV** WITH YOUR
NAME AND THE LOCATION(S) YOU WILL BE ATTENDING.



January 15, 2015

TO: Regional Administrators

THRU: Keith Metcalf, Deputy Chief Engineer of Regional Operations

FROM:  Jeff Carpenter, Director of HQ Construction
 Kara Larsen, Director of the Office of Equal Opportunity

SUBJECT: WSDOT Staff External Civil Rights Training

The Office of Equal Opportunity (OEO) and HQ Construction are requesting your assistance in disseminating information regarding upcoming training. This training will cover the Disadvantaged Business Enterprise (DBE), Title VI, Equal Employment Opportunity and On-the-Job Training Programs. Per the [Conciliation Agreement](#) with Federal Highway Administration, WSDOT staff that impact or participate in the administration of the DBE Program are required to attend training on the DBE Program annually. Information on when these trainings will be occurring and how to register is available at: www.wsdot.wa.gov/localprograms/ltap/.

In addition – WSDOT has clarified our roles and responsibilities related to contract administration on projects. The OEO will be providing project staff with agency-wide obligations and a general understanding of the DBE Program, Title VI, Equal Employment Opportunity and On-the-Job Training Programs. OEO's overview of the various programs will apply to all project staff (e.g. inspectors, documentation engineers, project engineers, etc.). In the afternoon portion of the training, HQ Construction will be providing detailed information related to construction project specific responsibilities of contract administration staff regarding the DBE Program.

It is up to you to determine who needs to attend these trainings. Additionally, your assistance is requested in distributing this information to the proper personnel. We recognize the short notification and will look into hosting webinars if this becomes an issue.

We look forward to working with you on enhancing our implementation of the DBE Program and thank you in advance for your cooperation. If you have questions regarding the training, please contact John Huff in OEO at (360) 705-7010 or HuffJ@wsdot.wa.gov.

Attachment: WSDOT Staff External Civil Rights Training Schedule

cc: Kathleen Davis, Local Programs
MaryLou Nebergall, Development Division

WSDOT, Local Programs, and Washington State LTAP Center present:

LTAP

Local
Technical
Assistance
Program

Office of Equal Opportunity 2015 DBE and Civil Rights Program Update Training For Agency Employees

February 3, 2015 (Class #02410CN)
Time: 9:00 a.m. – 4:30 p.m.
Bellingham

Lummi Administration Building
2665 Kwina Road, Bellingham WA 98226
360-312-2023*

February 10 (Class #02395CN)
or 11, 2015 (Class #02409CN)
Time: 8:30 a.m. – 4:30 p.m.
Shoreline

Shoreline Business Center (Mt. Rainier Conference Rm)
18560 1st Ave NE., Shoreline WA 98155
206-393-4122*

February 17, 2015 (Class #02398CN)
Time: 8:30 a.m. – 4:30 p.m.
Kent

WSDOT Maintenance Facility Kent
26620 68th Ave. S., Kent WA 98032
(253) 372-3900*

February 25, 2015 (Class #02408CN)
Time: 8:30 a.m. – 4:30 p.m.
Olympia

Ramada Inn (Rainier Room)
Ramada Inn 4520 Martin Way E., Olympia, 98516
360-459-8866*

March 4, 2015 (Class #02406CN)
Time: 8:30 a.m. – 4:30 p.m.
Vancouver

WSDOT SW Region Office
11081 NE 51st Circle, Vancouver WA 98682
360-905-2181 or 360-905-2000*

March 10 (Class #02400CN)
or 11, 2015 (Class #02401CN)
Time: 8:30 a.m. – 4:30 p.m.
Spokane Valley

Mirabeau Park Hotel
N 1100 Sullivan Rd. Spokane Valley WA 99037
509-922-6211*

March 23, 2015 (Class #02402CN)
Time: 8:30 a.m. – 4:30 p.m.
Wenatchee

Red Lion (Cascade Room)
1225 N. Wenatchee Ave., Wenatchee 98801
509-664-4530*

March 24, 2015 (Class #02403CN)
Time: 8:30 a.m. – 4:30 p.m.
Yakima

Red Lion Hotel and Conference Center
607 E. Yakima Ave., Yakima 98901
509-248-5900*

(*The phone numbers at the above training facilities can be used in case of emergency to contact a student in the class.)

Cost: Free

Class Size: 40/50

7 Hours



Washington State
Department of Transportation

Target Audience

WSDOT and Local Agency staff working in the state of Washington on projects that contract with and hire contractors and consultants.

Course Description

The Washington State Department of Transportation (WSDOT) will conduct Civil Rights Program Trainings. These trainings will provide participants with a variety of program updates and overviews as well as better understanding of the Civil Rights Programs.

Areas of training include:

- Disadvantaged Business Enterprise Program
- Equal Employment Opportunity Program
- On-The-Job Training Support Services
- Title VI
- USDOT's Bonding Education Program and Short-Term Lending Program
- DBE Certification Program/North American Industry Classification System Codes
- Tribal Requirements

Class Materials to Bring

Please bring writing materials to the class.

Instructor

WSDOT Office of Equal Opportunity and Head Quarters Construction Staff, Tribal Employment Rights Office (TERO), Tribal Representatives, Office of Minority and Women Business Enterprise (OMWBE), USDOT's Bonding Program representative, and a member of the Office of the Inspector General (OIG).

Questions

Contact John Huff at John.Huff@wsdot.wa.gov

Registration

Please register on-line using this link: [LTAP Training On-line Registration Form](#).

Fill in your information, select your class from the list, then press "Submit." You will receive an e-mail notification with driving directions to the training site when we process your request. If you do not receive this e-mail notification within a day or two, you may not be registered and should contact us by phone.

Confirmation letters will be e-mailed from LTAPTraining@wsdot.wa.gov prior to the class. If you are unable to attend, someone else from your agency may attend in your place. To cancel your registration or for assistance with registration, e-mail LTAPTraining@wsdot.wa.gov or phone (360) 705-7355.

Disability accommodations provided upon request.

Visit the
Washington State LTAP Center's Web Page
<http://www.wsdot.wa.gov/localprograms/ltap/>



**Washington State
Department of Transportation**

WSDOT Contractor Civil Rights Program Training

WSDOT will be conducting Contractor Civil Rights Program Trainings for prime and subcontractors, Disadvantaged Business Enterprises (DBEs) and non-DBEs. These trainings are geared towards contractors and will provide participants with a variety of program updates and overviews. These trainings will better prepare your company to work for WSDOT as a DBE and work with the DBE Program. Trainings include:

- Disadvantaged Business Enterprise Program
- Equal Employment Opportunity Program
- On-the-Job Training Supportive Services
- Title VI
- USDOT's Bonding Education Program and Short-Term Lending Program
- DBE Certification Program/
North American Industry Classification System Codes
- Tribal Requirements
- USDOT Office of the Inspector General

These trainings are hosted by the WSDOT Office of Equal Opportunity, but include representatives from WSDOT's Headquarters Construction, the Washington State Office of Minority and Women's Business Enterprises, Tribal Employments Rights Programs, USDOT's Small Business Transportation Resource Center, and USDOT's Office Inspector General. The trainings will be held on the following dates and locations:

Bellingham, Wednesday, Feb. 4 - 9:00 a.m. to 4:30 p.m.

Lummi Administration Building
2665 Kwina Road, Bellingham

Shoreline, Thursday, Feb. 12 - 8:30 a.m. to 4:30 p.m.

Shoreline Business Center - Mt. Rainier Conference Room
18560 1st Ave. NE., Shoreline

Kent, Wednesday, Feb. 18 - 8:30 a.m. to 4:30 p.m.

WSDOT Kent Maintenance Facility
26620 68th Ave. S., Kent

Olympia, Thursday, Feb. 26 - 8:30 a.m. to 4:30 p.m.

Ramada Inn - Rainier Room
4520 Martin Way E., Olympia

Vancouver, Thursday, March 5 - 8:30 a.m. to 4:30 p.m.

WSDOT Southwest Region Office
11018 NE 51st Circle, Vancouver

Spokane, Thursday, March 12 - 8:30 a.m. to 4:30 p.m.

Mirabeau Park Hotel
N 1100 Sullivan Rd., Spokane Valley

Yakima, Wednesday, March 25 - 8:30 a.m. to 4:30 p.m.

Red Lion Hotel & Conference
607 E Yakima Ave., Yakima

To register please visit: <http://www.wsdot.wa.gov/LocalPrograms/LTAP/>
If you have any questions, please contact John Huff at (360) 705-7010 or HuffJ@wsdot.wa.gov